

Pecresse Jerome
Form 3
September 11, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Pecresse Jerome
(Last) (First) (Middle)

41 FARNSWORTH STREET
(Street)

BOSTON,Â MAÂ 02210
(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
09/07/2018

3. Issuer Name **and** Ticker or Trading Symbol
GENERAL ELECTRIC CO [GE]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
Senior Vice President

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
__X__ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

31,618

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date
Exercisable

Expiration
Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

Amount or
Number of

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Restricted Stock Units	Â (2)	Â (2)	Common Stock	30,000	\$ (1)	D	Â
Restricted Stock Units	Â (3)	Â (3)	Common Stock	14,000	\$ (1)	D	Â
Restricted Stock Units	Â (4)	Â (4)	Common Stock	40,000	\$ (1)	D	Â
Restricted Stock Units	Â (5)	Â (5)	Common Stock	11,200	\$ (1)	D	Â
Restricted Stock Units	Â (6)	Â (6)	Common Stock	116,700	\$ (1)	D	Â
Employee Stock Option (right to buy) (7)	12/11/2016	12/11/2025	Common Stock	60,000	\$ 30.26	D	Â
Employee Stock Option (right to buy) (7)	09/30/2017	09/30/2026	Common Stock	87,500	\$ 29.62	D	Â
Employee Stock Option (right to buy) (7)	09/06/2018	09/06/2027	Common Stock	100,000	\$ 24.92	D	Â
Employee Stock Option (right to buy) (8)	01/29/2019	01/29/2028	Common Stock	500,000	\$ 16.28	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Pecresse Jerome 41 FARNSWORTH STREET BOSTON, MA 02210	Â	Â	Â Senior Vice President	Â

Signatures

Julia L. Chen on behalf of Jerome Pecresse 09/11/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 1-for-1

(2) 50,000 units granted on 7/28/2016; 10,000 units vested on 7/28/2017; 10,000 units vested on 7/28/2018; 10,000 units are scheduled to vest on 7/28/2019; 10,000 units are scheduled to vest on 7/28/2020; and 10,000 units are scheduled to vest on 7/28/2021.

(3) 17,500 units granted on 9/30/2016; 3,500 units vested on 9/30/2017; 3,500 units are scheduled to vest on 9/30/2018; 3,500 units are scheduled to vest on 9/30/2019; 3,500 units are scheduled to vest on 9/30/2020; and 3,500 units are scheduled to vest on 9/30/2021.

(4) 50,000 units granted on 2/10/2017; 10,000 units vested on 2/10/2018; 10,000 units are scheduled to vest on 2/10/2019; 10,000 units are scheduled to vest on 2/10/2020; 10,000 units are scheduled to vest on 2/10/2021; and 10,000 units are scheduled to vest on 2/10/2022.

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- (5) 14,000 units granted on 9/6/2017; 2,800 units vested on 9/6/2018; 2,800 units are scheduled to vest on 9/6/2019; 2,800 units are scheduled to vest on 9/6/2020; 2,800 units are scheduled to vest on 9/6/2021; and 2,800 units are scheduled to vest on 9/6/2022.
- (6) 66,700 units granted on 2/26/2018; 22,233 units are scheduled to vest on 2/26/2019; 22,233 units are scheduled to vest on 2/26/2020; and 22,234 units are scheduled to vest on 2/26/2021.
- (7) The options become exercisable in five equal installments of 20% each beginning on the "Date Exercisable" shown to the right, and another 20% become exercisable each year thereafter.
- (8) The options become exercisable in one installment on 1/29/2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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