### Edgar Filing: Cole Jason - Form 4

| Cole Jason<br>Form 4<br>February 2 <sup>2</sup>  | 7, 2019                                 |  |          |   |      |                  |   |                       |   |  |   |  |
|--|---|--|----------|---|------|------------------|---|-----------------------|---|--|---|--|
| FOR  |   |  |          |   |      |                  |   |                       |   |  |   |  |
|  | UNITED                                  | Washington, D.C. 20549                               |          |   |      |                  |   |                       |   |  | 3235-0287   |  |
| Check t<br>if no los   | his box                                 | STATEMENT OF CHANGES IN BENEFICIAL OWN<br>SECURITIES |          |   |      |                  |   |                       |   | Expires:   | January 31,<br>2005   |  |
| subject<br>Section<br>Form 4   | to SIAIEN<br>16.<br>or                  |  |          |   |      |                  |   |                       |   | Estimated a<br>burden hour<br>response                                     | average<br>rs per   |  |
| Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |   |  |          |   |      |                  |   |                       |   |  |   |  |
| (Print or Type   | Responses)                              |  |          |   |      |                  |   |                       |   |  |   |  |
| Cole Jason Syml  |   |  |          | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>Duebird bio, Inc. [BLUE] |      |                  |   |                       | 5. Relationship of Reporting Person(s) to<br>Issuer   |  |   |  |
| (Last)   | (First) (                               | Middle)  | 3. Date  | of Earlie   | st T | -<br>Fransaction | n |                       | (Check all applicable)  |  |   |  |
| (Month/  |   |  |          | onth/Day/Year)<br>25/2019   |      |                  |   |                       | Director 10% Owner<br>X_ Officer (give titleX_ Other (specify<br>below) below)<br>Chief Operating and / Legal Officer |  |   |  |
| (Street) 4. If Am  |   |  |          | mendment, Date Original   |      |                  |   |                       | 6. Individual or Joint/Group Filing(Check   |  |   |  |
| Filed(Mo<br>CAMBRIDGE, MA 02142  |   |  |          | d(Month/Day/Year)   |      |                  |   |                       | Applicable Line)<br>_X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person         |  |   |  |
| (City)   |   |  |          |   |      |                  |   |                       |   |  |   |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year) |  | Date, if | Code (Instr. 3, 4 and 5)  |      |                  |   |                       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)                        | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| ~  |   |  |          | Code  | V    | Amount           |   | Price                 | (Instr. 3 and 4)  |  |   |  |
| Common<br>Stock  | 02/25/2019                              |  |          | М   |      | 2,248            | А | \$ 22.53              | 28,692  | D  |   |  |
| Common<br>Stock  | 02/25/2019                              |  |          | М   |      | 4,000            | А | \$ 50.51              | 32,692  | D  |   |  |
| Common<br>Stock  | 02/25/2019                              |  |          | S <u>(1)</u>  |      | 5,723            | D | \$<br>150.132.<br>(2) | 3 26,969  | D  |   |  |
| Common<br>Stock  | 02/25/2019                              |  |          | S <u>(1)</u>  |      | 525              | D | \$<br>151.4119<br>(3) | 9 26,444  | D  |   |  |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number<br>on f Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8. H<br>Der<br>Sec<br>(In: |
|---|---|---|---|--|---|--|--------------------|---|--|----------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                            |
| Stock<br>Option<br>(right to<br>buy)                | \$ 22.53  | 02/25/2019                              |   | М                                      | 2,248   | (4)  | 02/10/2024         | Common<br>Stock   | 2,248                                  |                            |
| Stock<br>Option<br>(right to<br>buy)                | \$ 50.51  | 02/25/2019                              |   | М                                      | 4,000   | (5)  | 03/01/2026         | Common<br>Stock   | 4,000                                  |                            |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                     |               |  |  |  |  |
|---|---------------|-----------|---------------------|---------------|--|--|--|--|
| I O   | Director      | 10% Owner | Officer             | Other         |  |  |  |  |
| Cole Jason<br>C/O BLUEBIRD BIO, INC.<br>60 BINNEY STREET<br>CAMBRIDGE, MA 02142 |               |           | Chief Operating and | Legal Officer |  |  |  |  |

# **Signatures**

/s/ Jason F. Cole 02/27/2019 \*\*Signature of

Reporting Person

Date

## **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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(1) The sales reported in the Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 19, 2018.

The range in prices for the transaction reported on this line was \$150.00 to \$150.97. The average weighted price was \$150.1323. The (2) reporting person will provide upon request by the SEC, the issuer or security holder of the issuer, full information regarding the number

- (2) reporting person will provide, upon request by the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The range in prices for the transaction reported on this line was \$151.19 to \$151.54. The average weighted price was \$151.4119. The
  (3) reporting person will provide, upon request by the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (4) This option vests over a four-year period, at a rate of twenty-five percent (25%) on March 6, 2015 and in 36 equal monthly installments thereafter.
- (5) This option vests over a four-year period, at a rate of twenty-five percent (25%) on January 4, 2017, and in 36 equal monthly installments.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.