

GORMAN JAMES CARVELL
Form 5
February 08, 2019

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
GORMAN JAMES CARVELL

(Last) (First) (Middle)

THE GORMAN-RUPP
COMPANY, 600 SOUTH
AIRPORT ROAD

(Street)

MANSFIELD, OH 44903

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
GORMAN RUPP CO [GRC]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2018

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman

6. Individual or Joint/Group Reporting

(check applicable line)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) | | | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|------------|-------|--|--|---|
| | | | | Amount | (A) or (D) | Price | | | |
| Common Stock | 01/09/2018 | Â | G | 3,720 | D | \$ 0 | 1,273,268 | I | By James C. Gorman Trust |
| Common Stock | 01/29/2018 | Â | G | 250 | D | \$ 0 | 1,273,018 | I | By James C. Gorman Trust |

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| | | | | | | | | | |
|---------------------------|------------|---|-------------|-------|---|----------|-----------|---|--------------------------|
| Common Stock | 02/01/2018 | Â | G | 100 | D | \$ 0 | 1,272,918 | I | By James C. Gorman Trust |
| Common Stock | 08/16/2018 | Â | G | 400 | D | \$ 0 | 1,272,518 | I | By James C. Gorman Trust |
| Common Stock | 12/20/2018 | Â | G | 900 | D | \$ 0 | 1,271,618 | I | By James C. Gorman Trust |
| Common Stock (401-K Plan) | 03/31/2018 | Â | <u>J(1)</u> | 69 | A | \$ 29.25 | 7,367 | I | By 401-K Trust |
| Common Stock (401-K Plan) | 06/30/2018 | Â | <u>J(1)</u> | 38 | A | \$ 35 | 7,405 | I | By 401-K Trust |
| Common Stock (401-K Plan) | 09/30/2018 | Â | <u>J(1)</u> | 49 | A | \$ 36.5 | 7,454 | I | By 401-K Trust |
| Common Stock (401-K Plan) | 12/06/2018 | Â | <u>J(2)</u> | 770 | D | \$ 32.51 | 6,684 | I | By 401-K Trust |
| Common Stock (401-K Plan) | 12/31/2018 | Â | <u>J(1)</u> | 526 | A | \$ 32.41 | 7,210 | I | By 401-K Trust |
| Common Stock | 01/09/2018 | Â | G | 3,720 | A | \$ 0 | 3,935,657 | I | By family <u>(3)</u> |
| Common Stock | 03/13/2018 | Â | <u>J(4)</u> | 335 | A | \$ 30.43 | 3,935,992 | I | By family <u>(5)</u> |
| Common Stock | 03/31/2018 | Â | <u>J(1)</u> | 251 | A | \$ 29.25 | 3,936,243 | I | By family <u>(6)</u> |
| Common Stock | 06/12/2018 | Â | <u>J(4)</u> | 296 | A | \$ 33.2 | 3,936,539 | I | By family <u>(7)</u> |
| Common Stock | 06/30/2018 | Â | <u>J(1)</u> | 127 | A | \$ 35 | 3,936,666 | I | By family <u>(8)</u> |
| Common Stock | 08/16/2018 | Â | G | 400 | A | \$ 0 | 3,937,066 | I | By family <u>(9)</u> |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired under GRC 401(k) Plan.
- (2) Balance reflects distribution of cash equivalent of 770 common shares required to be made by internal revenue code due to reporting person being over age 70 1/2.
Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes
- (3) 3,231,042 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.
- (4) Shares acquired through dividend reinvestment.
Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes
- (5) 3,231,377 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.
Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes
- (6) 3,231,628 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.
Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes
- (7) 3,231,924 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.
Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes
- (8) 3,232,051 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.
Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes
- (9) 3,232,451 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.
Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes
- (10) 3,232,722 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.
Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes
- (11) 3,232,891 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.
Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes
- (12) 3,238,340 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.
Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes
- (13) 3,242,261 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.