Brice Carson H Form 4 February 06, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

3235-0287 Number: January 31, Expires:

2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

FIRST CITIZENS BANCSHARES

Symbol

burden hours per response... 0.5

Estimated average

5. Relationship of Reporting Person(s) to

(Ch - -1- -11 - --1: - -1-1-)

Issuer

See Instruction 1(b).

Brice Carson H

(Print or Type Responses)

1. Name and Address of Reporting Person \*

			INC /DE/ [FCNCA]					ILL	(Check all applicable)			
<b>(</b> N			(Month/D	3. Date of Earliest Transaction (Month/Day/Year) 01/07/2019						Director X 10% Owner Officer (give title below) Other (specify below)		
	(Street)		4. If Ame Filed(Mor			te Original	l		6. Individual or Applicable Line) _X_ Form filed b	•		
SMITHFIE	LD, NC 27577									y More than One		
(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea	r) Executio any	med n Date, if Day/Year)	3. Transa Code (Instr.		(A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common	01/07/2019			G	V	228	D	\$0	306,825	D		
Class A Common									280	I	By spouse	
Class A Common	01/07/2019			G	V	76	A	\$0	16,857	I	As custodian for Stephen Brice	
Class A Common	01/07/2019			G	V	76	A	\$0	16,256	I	As Custodian for Cordelia	

Brice

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Class A common	01/07/2019	G	V	76	A	\$ 0	16,256	I	As Custodian for Elizabeth Brice
Class A Common							10,652	I	As beneficiary of Trust
Class A Common							78,284 <u>(1)</u>	I	Trusts f/b/o children
Class B Common							108,756	D	
Class B Common							563	I	By spouse
Class B Common							2,347	I	As custodian for Stephen Brice
Class B Common							2,348	I	As custodian for Elizabeth Brice
Class B Common							2,348	I	As custodian for Cordelia Brice
Class B Common							1,250	I	As beneficiary of Trust
Class B Common							2,525	I (1)	Trusts f/b/o children

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative				Securities	S	(Instr. 3 and 4)		Own
	Security				Acquired				Follo
					(A) or				Repo
					Disposed				Trans
					of (D)				(Instr
					(Instr. 3,				
					4. and 5)				

(9-02)

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Date Expiration Or Number Of Shares

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Brice Carson H
PO BOX 1352

X
SMITHFIELD, NC 27577

## **Signatures**

Carson H. Brice, By: William R. Lathan, Jr.,
Attorney-in-fact
02/06/2019

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The listed shares are held by three trusts for the benefit of the Reporting Person's children. The Reporting Person does not serve as trustee of or have or share investment control over the Issuer's shares held by the trusts, she disclaims beneficial ownership of the shares held by the trusts, and this report shall not be deemed an admission that the Reporting Person is the beneficial owner of the shares for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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