Sipes David Form 4 November 28, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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**OMB APPROVAL** 

response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Sipes David

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

RingCentral Inc [RNG]

(Check all applicable)

C/O RINGCENTRAL, INC., 20

(Street)

(First)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director \_X\_\_ Officer (give title

10% Owner \_ Other (specify

> 7. Nature of Indirect Beneficial Ownership (Instr. 4)

**DAVIS DRIVE** 

(Last)

below)

Chief Operating Officer

4. If Amendment, Date Original Filed(Month/Day/Year)

11/28/2018

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

BELMONT, CA 94002

(City)	(State)	(Zip) Tabl	e I - Non-E	Derivative	Secur	rities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Class A Common Stock	11/28/2018		Code V C(1)	Amount 1,500	(D)	Price \$ 0	267,752	D	
Class A Common Stock	11/28/2018		M	1,825	A	\$ 15.77	269,577	D	
Class A Common Stock	11/28/2018		S(2)	2,430	D	\$ 76.51 (3)	267,147	D	
Class A Common	11/28/2018		S(2)	1,100	D	\$ 77.36	266,047	D	

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Stock					<u>(4)</u>		
Class A Common Stock	11/28/2018	S(2)	2,675	D	\$ 78.62 (5)	263,372	D
Class A Common Stock	11/28/2018	S(2)	1,600	D	\$ 79.21 <u>(6)</u>	261,772	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 15.77	11/28/2018		M		1,825	<u>(7)</u>	02/27/2022	Class A Common Stock	1,825
Stock Option (right to buy)	\$ 0.99	11/28/2018		M		1,500	<u>(8)</u>	11/12/2019	Class B Common Stock	1,500
Class B Common Stock	<u>(9)</u>	11/28/2018		M	1,500		<u>(9)</u>	<u>(9)</u>	Class A Common Stock	1,500
Class B Common Stock	<u>(9)</u>	11/28/2018		C(1)		1,500	<u>(9)</u>	(9)	Class A Common Stock	1,500

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Reporting Owners 2

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Director 10% Owner Officer Other

Sipes David C/O RINGCENTRAL, INC. 20 DAVIS DRIVE BELMONT, CA 94002

**Chief Operating Officer** 

## **Signatures**

/s/ Bruce P. Johnson, Attorney-in-fact for David Sipes

11/28/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Share of Class A Common Stock was issued upon conversion of one share of Class B Common Stock.
- (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on June 13, 2018.
- Reflects weighted average sale price. Actual sale prices ranged from \$75.96 to \$76.85 on November 28, 2018. The Reporting Person undertakes to provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the commission staff.
- (3) undertakes to provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased or sold at each separate price.
- Reflects weighted average sale price. Actual sale prices ranged from \$76.96 to \$77.92 on November 28, 2018. The Reporting Person (4) undertakes to provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased or sold at each separate price.
- Reflects weighted average sale price. Actual sale prices ranged from \$78.00 to \$78.97 on November 28, 2018. The Reporting Person (5) undertakes to provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased or sold at each separate price.
- Reflects weighted average sale price. Actual sale prices ranged from \$79.01 to \$79.40 on November 28, 2018. The Reporting Person (6) undertakes to provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased or sold at each separate price.
- (7) The option vests and becomes exercisable in 48 equal monthly installments commencing on March 27, 2015.
- (8) Options are fully vested and exercisable.
  - Each share of Class B Common Stock is convertible at any time at the option of the holder into one share of Class A Common Stock and has no expiration date. In addition, each share of Class B Common Stock held by a shareholder will convert automatically into one share
- (9) of Class A Common Stock upon (i) any transfer of such share (subject to certain exceptions), or (ii) the occurrence of certain other specific instances, including the vote of the holders of the Class B Common Stock, as set forth in the issuer's Amended and Restated Certificate of Incorporation.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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