BELTRAN CLEMENTE

Form 4

October 06, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB

OMB APPROVAL

Number:

3235-0287

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obligations

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

` 71	• /									
1. Name and Address of Reporting Person * BELTRAN CLEMENTE			Symbol		Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First)	(Middle)	3. Date of Ea	arliest Traı	. ,	Directo	(Check all applicable or 10%			
4949 HEDGO 200	COXE ROAD	, SUITE	(Month/Day/ 10/05/201				or (give title Other below) Vice President			
	(Street)		4. If Amenda	ment, Date	e Original	6. Individua	l or Joint/Group Filin	g(Check		
			Filed(Month/	Filed(Month/Day/Year)			Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
PLANO, TX	75024					Person	d by More than One Re	porting		
(City)	(State)	(Zip)	Table I	- Non-De	rivative Securities Acq	uired, Dispo	sed of, or Beneficial	ly Owned		
1.Title of Security	2. Transaction (Month/Day/)			3. Transact	4. Securities Acquired ion(A) or Disposed of (E			7. Natu		

(City)	(State) (Zi	p) Table I	- Non-Der	ivative Se	curiti	es Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	(Instr. 3,	(A)	ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Diodes Incorporated Common Stock	10/05/2017		M(1)	7,000	A	\$ 23.35	34,087	D	
Diodes Incorporated Common Stock	10/05/2017		S(2)	6,581	D	\$ 31	27,506	D	
Diodes Incorporated Common Stock							11,613 (3)	I	Owned By Spouse

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Diodes

Incorporated

Common 3,797

Stock -Performance Stock Units

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and Am Underlying Sec (Instr. 3 and 4)	
				Date Exercisable	Expiration Date	Title	Amo or Num

Code V (A) (D)

06/06/13 Incorporated **DIOD** \$ 23.35 10/05/2017 $M^{(1)}$ $7,000 \quad 07/01/2014^{(4)} \quad 06/06/2021$ Common Stock -**NQSO** Diodes

Diodes

Shar

7,0

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

BELTRAN CLEMENTE 4949 HEDGCOXE ROAD **SUITE 200**

Vice President

PLANO, TX 75024

Beltran

Signatures Richard D. White as Power of Attorney for Clemente

10/06/2017

Date

**Signature of Reporting Person

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercised under a 10b5-1 plan.
- (2) Sold under a 10b5-1 plan.
- (3) The amount of securities beneficially owned includes both restricted stock units and common stock.
- (4) Non-qualified stock options exercisable in four equal annual installments beginning 7/1/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.