UNITEDHEALTH GROUP INC

Form 4 April 25, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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if no longer subject to Section 16. Form 4 or Form 5

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

response...

obligations may continue. See Instruction 1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

WICHMANN DAVID S

2. Issuer Name and Ticker or Trading

Symbol

UNITEDHEALTH GROUP INC

(Check all applicable)

President

5. Relationship of Reporting Person(s) to

[UNH]

(Last) (First) (Middle)

(Month/Day/Year)

Director X_ Officer (give title below)

Issuer

10% Owner Other (specify

04/24/2017

GROUP, 9900 BREN ROAD EAST (Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

3. Date of Earliest Transaction

6. Individual or Joint/Group Filing(Check

Applicable Line)

231.9797

X Form filed by One Reporting Person Form filed by More than One Reporting

MINNETONKA, MN 55343

Stock

C/O UNITEDHEALTH

(City)	(State)	(Zip) Tal	ble I - Non-	-Derivative	Securi	ities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactiom Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of 6. Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock	04/24/2017		M	150,000	A	\$ 54.41	800,316.352	D	
Common Stock	04/24/2017		F	97,001	D	\$ 171.16	703,315.352	D	
Common Stock	04/24/2017		M	25,000	A	\$ 54.41	728,315.352	D	
Common Stock	04/24/2017		F	16,167	D	\$ 171.16	712,148.352	D	
Common							221 0707	т	by 401(le)

by 401(k)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of on Derivative Securities Acquired (A) of Disposed of (Disposed of (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year) A) or f (D)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am Nur Sha
Stock Appreciation Rights	\$ 54.41	04/24/2017		M	150,000	(1)	05/28/2017	Common Stock	150
Stock Appreciation Rights	\$ 54.41	04/24/2017		M	25,000	05/28/2013	05/28/2017	Common Stock	25

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

WICHMANN DAVID S C/O UNITEDHEALTH GROUP 9900 BREN ROAD EAST MINNETONKA, MN 55343

President

Signatures

Amy L. Schneider, Attorney-in-Fact for: David S. 04/25/2017 Wichmann

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock appreciation rights vested at a rate of 25% on May 28 from the years 2008 through 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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