

MERRIMACK PHARMACEUTICALS INC

Form 3

April 10, 2017

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Drummond Daryl C

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

03/31/2017

3. Issuer Name **and** Ticker or Trading Symbol

MERRIMACK PHARMACEUTICALS INC [MACK]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Head of Research

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting PersonC/O MERRIMACK
PHARMACEUTICALS,
INC.,Â ONE KENDALL
SQUARE, SUITE B7201

(Street)

CAMBRIDGE,Â MAÂ 02139

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

92,420

D

Â

Common Stock

9,311

I

By Wife

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Stock Option (right to buy)	Â <u>(1)</u>	02/01/2020	Common Stock	80,000	\$ 2.12	D	Â
Stock Option (right to buy)	Â <u>(1)</u>	10/15/2020	Common Stock	27,500	\$ 2.69	D	Â
Stock Option (right to buy)	Â <u>(1)</u>	05/03/2021	Common Stock	20,000	\$ 5.54	D	Â
Stock Option (right to buy)	Â <u>(1)</u>	08/22/2022	Common Stock	14,100	\$ 7.53	D	Â
Stock Option (right to buy)	Â <u>(1)</u>	03/11/2023	Common Stock	50,000	\$ 6.35	D	Â
Stock Option (right to buy)	Â <u>(1)</u>	02/10/2024	Common Stock	30,000	\$ 5.02	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	02/08/2025	Common Stock	32,500	\$ 9.08	D	Â
Stock Option (right to buy)	Â <u>(3)</u>	02/07/2026	Common Stock	42,000	\$ 5.42	D	Â
Stock Option (right to buy)	Â <u>(1)</u>	07/03/2017	Common Stock	4,097	\$ 2.69	I	By Wife
Stock Option (right to buy)	Â <u>(1)</u>	07/03/2017	Common Stock	900	\$ 5.54	I	By Wife
Stock Option (right to buy)	Â <u>(1)</u>	06/02/2017	Common Stock	1,400	\$ 7.53	I	By Wife
Stock Option (right to buy)	Â <u>(1)</u>	06/02/2017	Common Stock	1,700	\$ 6.35	I	By Wife
Stock Option (right to buy)	Â <u>(1)</u>	06/02/2017	Common Stock	1,796	\$ 5.02	I	By Wife
Stock Option (right to buy)	Â <u>(1)</u>	06/02/2017	Common Stock	599	\$ 9.08	I	By Wife
Stock Option (right to buy)	Â <u>(1)</u>	06/02/2017	Common Stock	333	\$ 5.42	I	By Wife

Reporting Owners

Reporting Owner Name / Address

Relationships

Director

10% Owner

Officer

Other

Reporting Owners

Drummond Daryl C
C/O MERRIMACK PHARMACEUTICALS, INC.
ONE KENDALL SQUARE, SUITE B7201
CAMBRIDGE, MA 02139

^

^

^ Head of
Research ^

Signatures

/s/ Brian J. Kickham,
attorney-in-fact

04/10/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option is fully vested.
- (2) This option vested as to 1/12th of the total number of shares on 5/9/15 and an additional 1/12th of the total number of shares at the end of each successive three month period thereafter until 2/9/18.
- (3) This option vested as to 1/12th of the total number of shares on 5/8/16 and an additional 1/12th of the total number of shares at the end of each successive three month period thereafter until 2/8/19.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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