Edgar Filing: AUTODESK INC - Form 4

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| Form 4 March 16, 20 | | | | | | | | | | | | |
|---|------------------------------------|---|----------------------------------|--|------|------------------------------------|--------|---------|---|--|---|--|
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | - | 3235-0287 | | | |
| Check thi if no long subject to Section 1 Form 4 of Form 5 obligation may cont <i>See</i> Instru 1(b). | 6. r Filed p inue. | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940 | | | | | | | | Expires: Estimated a burden hou response | Expires:January 31, 2005Estimated average burden hours per response0.5 | |
| (Print or Type R | Responses) | | | | | | | | | | | |
| Herren Richard Scott Symbol | | | | Name and Ticker or Trading DESK INC [ADSK] | | | | 5 | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| | | | | of Earliest Transaction Day/Year) 2017 | | | | | Director 10% Owner X Officer (give title Other (specify below) below) Chief Financial Officer | | | |
| | | | | ndment, Date Original nth/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| SAN RAFA | EL, CA 94903 | | | | | | | | Person | Aore than One Re | eporting | |
| (City) | (State) | (Zip) | Table | e I - Non | ı-De | erivative S | ecurit | ies Acq | uired, Disposed of | f, or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction D (Month/Day/Yea | ar) Executio any | emed on Date, if Day/Year) | 3. Transac Code (Instr. 3 Code | 8) | n(A) or Dis (D) (Instr. 3, 4 | sposed | of | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | 03/14/2017 | | | A <u>(1)</u> | | 14,585 | А | \$0 | 98,283 <u>(2)</u> | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|-------|--|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | | |
|---|---------------|------------|-------------------------|-------|--|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | | |
| Herren Richard Scott 111 MCINNIS PARKWAY SAN RAFAEL, CA 94903 | | | Chief Financial Officer | | | | | | |
| Signatures | | | | | | | | | |
| Andy Sewell, Attorney-in-Fact Herren | ott | 03/16/2017 | | | | | | | |
| <u>**</u> Signature of Reporting Per | rson | | Date | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person is entitled to receive one share of Common Stock for each Restricted Stock Unit. These Restricted Stock Units vest as to approximately 1/3 of the total shares on an annual basis over a 3-year period from the date of grant on March 14, 2017.
- (2) The total securities beneficially owned includes 75,188 shares of unvested Restricted Stock Units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.