## Edgar Filing: Square, Inc. - Form 4

| Square, Inc.<br>Form 4  |                                   |  |  |                 |      |                                |                    |   |  |  |                     |  |
|---|-----------------------------------|--|--|-----------------|------|--------------------------------|--------------------|---|--|--|---------------------|--|
| November 17   |                                   |  |  |                 |      |                                |                    |   |  |  |                     |  |
| FORM  | 4 UNITE                           | D STATES   |  |                 |      |                                |                    | NGE (   | COMMISSION   |  | PROVAL<br>3235-0287 |  |
| Check thi<br>if no long<br>subject to<br>Section 10<br>Form 4 or<br>Form 5<br>obligatior  | er <b>STAT</b>                    | <b>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF</b><br><b>SECURITIES</b><br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, |  |                 |      |                                |                    |   | Expires:January 31Expires:200Estimated averageburden hours perresponse0.3  |  |                     |  |
| may conti<br><i>See</i> Instru<br>1(b).   | inue. Section                     |  | of the Inv                               | •               |      | •                              | · ·                |   | f 1935 or Section<br>40  | n  |                     |  |
| (Print or Type R  | Responses)                        |  |  |                 |      |                                |                    |   |  |  |                     |  |
| 1. Name and Address of Reporting Person *       2. Issuer Name         Henry Alyssa       Symbol         (Last)       (First)       (Middle)         3. Date of Earlier |                                   |  | Symbol                                   |                 |      |                                |                    |   | 5. Relationship of Reporting Person(s) to<br>Issuer  |  |                     |  |
|   |                                   |  |  |                 |      |                                |                    | (Check all applicable)  |  |  |                     |  |
| (Month/D<br>1455 MARKET STREET, SUITE<br>600<br>(Street) 4. If Ame  |                                   |  | (Month/D                                 |                 |      |                                |                    |   | below)   | _X_ Officer (give title Other (specify                               |                     |  |
|   |                                   |  | endment, Date Original<br>onth/Day/Year) |                 |      |                                |                    | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |  |  |                     |  |
| SAN FRAN  | CISCO, CA 9                       | 4103   |  |                 |      |                                |                    |   |  | fore than One Re   |                     |  |
| (City)  | (State)                           | (Zip)  | Table                                    | e I - Noi       | n-De | erivative S                    | Securi             | ties Acq  | uired, Disposed of   | , or Beneficial  | ly Owned            |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction I<br>(Month/Day/Ye | ear) Execution<br>any  | med<br>on Date, if<br>Day/Year)          | Code<br>(Instr. | 8)   | n(A) or D<br>(D)<br>(Instr. 3, | 4 and<br>(A)<br>or | d of<br>5)  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |                     |  |
| Class A<br>Common<br>Stock  | 11/15/2016                        |  |  | Code            |      | Amount<br>1,361<br>(1)         | (D)<br>A           | Price<br>\$<br>7.65   |  | D  |                     |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>ofNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Secur | unt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|-------|--|---|---|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |   |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |             |       |  |  |  |  |
|--|---------------|-----------|-------------|-------|--|--|--|--|
|  | Director      | 10% Owner | Officer     | Other |  |  |  |  |
| Henry Alyssa<br>1455 MARKET STREET<br>SUITE 600<br>SAN FRANCISCO, CA 94103 |               |           | Seller Lead |       |  |  |  |  |
| Signatures   |               |           |             |       |  |  |  |  |
| /s/ Laura Reis,<br>Attorney-in-Fact  | 11/1          | 7/2016    |             |       |  |  |  |  |
| **Signature of Reporting Person  | I             | Date      |             |       |  |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were acquired under the Issuer's Employee Stock Purchase Plan in a transaction that was exempt under both Rule 16b-3(d) and Rule 16b-3(c).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.