Square, Inc. Form 4 November 04, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * **Brougher Francoise**

> (Last) (First) (Middle)

1455 MARKET STREET, SUITE 600

(Street)

2. Issuer Name and Ticker or Trading Symbol

Square, Inc. [SQ]

3. Date of Earliest Transaction

(Month/Day/Year) 11/03/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

Director 10% Owner

X_ Officer (give title _ Other (specify below) **Business Lead**

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

SAN FRANCISCO, CA 94103

(City)	(State)	(Zip) Tabl	e I - Non-D	erivative Se	curiti	es Acqı	uired, Disposed of	f, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	11/03/2016		C(1)	165,110	A	\$0	265,243	D	
Class A Common Stock	11/03/2016		S(2)	165,110	D	\$ 12	100,133	D	
Class A Common Stock	11/04/2016		C(1)	7,967	A	\$0	108,100	D	
Class A Common	11/04/2016		S(2)	7,967	D	\$ 12	100,133	D	

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Securities Code Acquired (A) or (Instr. 8) Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	An Nu Sh
Stock Option (right to buy)	\$ 2.904	11/03/2016		M		· /	165,110	(3)	05/31/2023	Class B Common Stock (4)	16
Class B Common Stock (4)	<u>(4)</u>	11/03/2016		M		165,110		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	16
Class B Common Stock((4)	<u>(4)</u>	11/03/2016		C(1)			165,110	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	16
Stock Option (right to buy)	\$ 2.904	11/04/2016		M			7,967	(3)	05/31/2023	Class B Common Stock (4)	7
Class B Common Stock (4)	<u>(4)</u>	11/04/2016		M		7,967		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	7
Class B Common Stock (4)	<u>(4)</u>	11/04/2016		C(1)			7,967	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	7

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Brougher Francoise			Business Lead				
1455 MARKET STREET							

Reporting Owners 2

SUITE 600 SAN FRANCISCO, CA 94103

Signatures

/s/ Laura Reis, Attorney-in-Fact

11/04/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the conversion of Class B Common Stock into Class A Common Stock held of record by the Reporting Person.
- (2) The sale reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- (3) 25% of the shares subject to the option vested on May 6, 2014, and 1/48 of the shares vest monthly thereafter.
- (4) Each share of Class B Common Stock is convertible into one share of Class A Common Stock at the option of the holder and has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3