## Edgar Filing: Square, Inc. - Form 4

Square Inc

| Form 4  | 2017                                    |   |  |  |           |        |  |   |           |  |
|---|---|---|--|--|-----------|--------|--|---|-----------|--|
| October 27, 2016<br>FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION |   |   |  |  |           |        | OMB APPROVAL   |   |           |  |
|   | UNITED                                  | STATES SECUE<br>Was   |  | ND EXCI<br>D.C. 2054                             |           | GE C   | OMMISSION  | OMB<br>Number:  | 3235-0287 |  |
| Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or    |   |   | ANGES IN BENEFICIAL OWNERS<br>SECURITIES   |  |           |        | NERSHIP OF   | Expires: January<br>20<br>Estimated average<br>burden hours per<br>response |           |  |
| Form 5<br>obligation<br>may cont<br><i>See</i> Instru<br>1(b).              | ns Section 17(a                         | suant to Section 1<br>a) of the Public U<br>30(h) of the In | tility Hold  | ling Comp  | any A     | ct of  | 1935 or Section  | 1   |           |  |
| (Print or Type F  | Responses)                              |   |  |  |           |        |  |   |           |  |
| Dale Ajmere Symb  |   |   | 2. Issuer Name <b>and</b> Ticker or Trading ymbol  |  |           |        | 5. Relationship of Reporting Person(s) to Issuer   |   |           |  |
| (1 +)   | -                                       | Inc. [SQ]   |  |  |           | (Check | (Check all applicable)   |   |           |  |
|   |   |   | of Earliest Transaction      Director      10% Owned         2016      X_Officer (give title below)      Other (spectrum)         Chief Accounting Officer      Officer      Officer |  |           |        | r (specify   |   |           |  |
|   |   |   | endment, Date Original<br>nth/Day/Year)  |  |           |        | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person            |   |           |  |
| SAN FRAN  | CISCO, CA 9410                          | )3  |  |  |           |        | Form filed by M<br>Person  |   |           |  |
| (City)  | (State)                                 | (Zip) Tabl  | le I - Non-D   | erivative Se                                     | curitie   | s Acqu | uired, Disposed of   | , or Beneficial   | ly Owned  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8)<br>Code V   | 4. Securitie<br>on(A) or Disp<br>(Instr. 3, 4 a) | (A)<br>or |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)        |           |  |
| Class A   |   |   |  | 130,000  | , , ,     |        |  |   |           |  |
| Common<br>Stock   | 10/25/2016                              |   | А  | (1)<br>(1)                                       | А         | \$0    | 130,000  | D   |           |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>ofNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | 7. Titl<br>Amou<br>Under<br>Secur<br>(Instr. | int of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owna<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|--|--|---|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>                                     | Relationships |           |                                |       |  |  |  |  |
|---|---------------|-----------|--------------------------------|-------|--|--|--|--|
|   | Director      | 10% Owner | Officer                        | Other |  |  |  |  |
| Dale Ajmere<br>1455 MARKET STREET<br>SUITE 600<br>SAN FRANCISCO, CA 94103 |               |           | Chief<br>Accounting<br>Officer |       |  |  |  |  |
| Signatures  |               |           |                                |       |  |  |  |  |
| /s/ Jason Gao,<br>Attorney-in-Fact  | 10/27/201     | 6         |                                |       |  |  |  |  |

Date

**Explanation of Responses:** 

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each share is represented by a restricted stock unit (RSU). Each RSU represents a contingent right to receive one share of the Issuer's(1) Class A Common Stock upon settlement. 25% of the RSUs vest on September 1, 2017, and 1/16 of the RSUs vest every three months thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.