

HOPE BANCORP INC

Form 3/A

September 20, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Tallerico Domenico Roy

(Last) (First) (Middle)

3200 WILSHIRE
BLVD., SUITE 1400

(Street)

LOS ANGELES, CA 90010

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

08/01/2016

3. Issuer Name and Ticker or Trading Symbol
HOPE BANCORP INC [HOPE]4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

SVP, Chief Internal Auditor

5. If Amendment, Date Original
Filed(Month/Day/Year)

08/11/2016

6. Individual or Joint/Group
Filing(Check Applicable Line)☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common stock

613 ⁽¹⁾

D

A

Common stock (Restricted stock)

1,150 ⁽²⁾

D

A

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of5. Ownership
Form of
Derivative6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Incentive Stock Option (Right to buy)	11/05/2012	11/05/2012	Common stock	7,034 ⁽³⁾	\$ 9.32	D	Â
Employee Incentive Stock Option (Right to buy)	03/31/2014	03/31/2014	Common stock	1,195 ⁽⁴⁾	\$ 15.79	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Tallerico Domenico Roy 3200 WILSHIRE BLVD. SUITE 1400 LOS ANGELES, CA 90010	Â	Â	Â SVP, Chief Internal Auditor	Â

Signatures

Claire Hur as attorney-in-fact for Domenico Roy
Tallerico

09/20/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Amended from previously filed Form 3, which did not reflect the number of shares as converted from Wilshire Bancorp, Inc. and assumed by BBCN Bancorp, Inc. using the exchange ratio of 0.7034 per share as provided by the Merger Agreement between Wilshire Bancorp, Inc. and BBCN Bancorp, Inc. (now Hope Bancorp, Inc.) (the "Merger Agreement"). Received in exchange for 872 shares of Wilshire Bancorp, Inc. common stock at the exchange ratio of 0.7034 per share as provided by the Merger Agreement.
- (2) Amended from previously filed Form 3, which did not reflect the number of shares as converted from Wilshire Bancorp, Inc. and assumed by BBCN Bancorp, Inc. using the exchange ratio of 0.7034 per share as provided by the Merger Agreement. Restricted stock granted on April 8, 2015 and March 16, 2016 under the Wilshire Bancorp, Inc. 2008 Stock Incentive Plan (the "Plan"), including dividends issued to date. Restricted stock granted under the Plan vests annually in four equal installments over three years, with 25% vesting on the grant date and the remainder vesting on the anniversary of the grant date annually thereafter.
- (3) No change from previously filed Form 3. Option granted on November 5, 2012 under the Wilshire Bancorp, Inc. 2008 Stock Incentive Plan. Options have fully vested.
- (4) No change from previously filed Form 3. Option granted on March 31, 2014 under the Wilshire Bancorp, Inc. 2008 Stock Incentive Plan. Options vest annually in four equal installments. The first, second and third installments vested on March 31st of 2014, 2015 and 2016. The fourth installment will vest on March 31, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.