

GOODYEAR TIRE & RUBBER CO /OH/
Form 4
August 16, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Smith Gregory L

2. Issuer Name and Ticker or Trading Symbol
GOODYEAR TIRE & RUBBER CO /OH/ [GT]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
200 INNOVATION WAY
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
08/12/2016

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Sr VP, Global Operations

AKRON, OH 44316
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock | 08/12/2016 | | M | 60,937 A \$ 13.64 | 142,136 | D | |
| Common Stock | 08/12/2016 | | F | 44,006 D \$ 29.31 | 98,130 | D | |
| Common Stock | 08/12/2016 | | M | 60,225 A \$ 12.98 | 158,355 | D | |
| Common Stock | 08/12/2016 | | F | 42,782 D \$ 29.31 | 115,573 | D | |
| Common Stock | 08/12/2016 | | M | 20,075 A \$ 26.44 | 135,648 | D | |

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| | | | | | | | |
|--------------|------------|---|--------|---|----------|---------|---|
| Common Stock | 08/12/2016 | F | 19,083 | D | \$ 29.31 | 116,565 | D |
| Common Stock | 08/12/2016 | M | 13,754 | A | \$ 27.16 | 130,319 | D |
| Common Stock | 08/12/2016 | F | 13,251 | D | \$ 29.31 | 117,068 | D |
| Common Stock | 08/12/2016 | S | 35,869 | D | \$ 29.31 | 81,199 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| 2008 Plan Option <u>(1)</u> | \$ 13.64 | 08/12/2016 | | M | 60,937 | 10/24/2015 ⁽²⁾ | 10/24/2021 | Common Stock | 60,937 |
| 2008 Plan Option <u>(1)</u> | \$ 12.98 | 08/12/2016 | | M | 60,225 | 02/28/2016 ⁽³⁾ | 02/28/2023 | Common Stock | 60,225 |
| 2013 Plan Option <u>(4)</u> | \$ 26.44 | 08/12/2016 | | M | 20,075 | 02/24/2016 ⁽⁵⁾ | 02/24/2024 | Common Stock | 20,075 |
| 2013 Plan Option <u>(4)</u> | \$ 27.16 | 08/12/2016 | | M | 13,754 | 02/23/2016 ⁽⁶⁾ | 02/23/2025 | Common Stock | 13,754 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Smith Gregory L 200 INNOVATION WAY AKRON, OH 44316 | | | Sr VP, Global Operations | |

Signatures

/s/Daniel T. Young, signing as an attorney-in-fact and agent duly authorized to execute this Form 4 on behalf of Gregory L. Smith pursuant to a Power of Attorney dated 10/13/11, a copy of which has been previously filed with the SEC.

08/16/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Non-Qualified Stock Option in respect of shares of common stock granted under the 2008 Performance Plan.
- (2) The option vested and became exercisable in 25% increments over four years commencing one year after the date of grant (10/24/2011).
- (3) The option vested and became exercisable in 25% increments over four years commencing one year after the date of grant (02/28/2013).
- (4) Non-Qualified Stock Option in respect of shares of common stock granted under the 2013 Performance Plan.
- (5) The option vested and became exercisable in 25% increments over four years commencing one year after the date of grant (02/24/2014).
- (6) The option vested and became exercisable in 25% increments over four years commencing one year after the date of grant (02/23/2015).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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