#### DIODES INC /DEL/

Form 4 May 31, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations

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if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

may continue.

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading LU KEH SHEW Issuer Symbol DIODES INC /DEL/ [DIOD] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ Director 10% Owner X\_ Officer (give title \_ Other (specify 4949 HEDGCOXE ROAD, SUITE 05/26/2016 below) 200 President & CEO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting PLANO, TX 75024 Person

(City)	(State) (Zi	p) <b>Table</b> l	I - Non-Dei	rivative Sec	urities	Acquired, I	Disposed of, or H	Beneficially C	wned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) or TransactionDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature o Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Diodes Incorporated Common Stock (1)	05/26/2016		A	110,000	A	\$ 0 (2)	1,731,720	D	
Diodes Incorporated Common Stock	05/27/2016		S(3)	18,564	D	\$ 17.95	1,713,156	D	
Diodes Incorporated Common Stock	05/27/2016		S(3)	400	D	\$ 18.9775	1,712,756	D	

of Indirect

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Diodes Incorporated Common Stock	05/27/2016	S(3)	734	D	\$ 18.9718	1,712,022	D	
Diodes Incorporated Common Stock	05/27/2016	S(3)	38	D	\$ 19.06	1,711,984	D	
Diodes Incorporated Common Stock						46,150 <u>(4)</u>	I	Custodial
Diodes Incorporated Common Stock						90,400	I	Revocable Trust
Diodes Incorporated Common Stock						476,783	I	Lu Grandchildren's Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	Execution Date, if		5. onNumber	6. Date Exerc Expiration D	ate	7. Title	nt of	8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of		any (Month/Day/Year)	Code (Instr. 8)	of Derivative		1641)	Underl Securit	ties	Security (Instr. 5)	Secur Bene
	Derivative Security				Securities Acquired			(mstr.	3 and 4)		Follo
					(A) or Disposed						Repo Trans
					of (D) (Instr. 3,						(Instr
					4, and 5)						
						Date Exercisable	Expiration Date	Title	Amount or Number		
				Code V	(A) (D)				of Shares		

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

LU KEH SHEW

4949 HEDGCOXE ROAD X President & CEO

SUITE 200

PLANO, TX 75024

## **Signatures**

Richard D. White as Power of Attorney for Keh-Shew Lu

05/31/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units vest in four equal installments beginning 05/26/2017.
- (2) Granted under Rule 16b-3 Plan.
- (3) Vested restricted stock unit shares automatically sold to cover income tax for the 05/21/2012, 5/27/2014, and 5/26/2015 awards.
  - These shares are held in accounts for the reporting persons minor grandchildren and the reporting person is the custodian of those
- (4) accounts. The reporting person disclaims ownership of the shares held in those custodial accounts, and this report is not an admission that the reporting person is the beneficial owner of these shares for purposes of Section 16 or for any other purposes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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