Edgar Filing: Intercontinental Exchange, Inc. - Form 4

Intercontinental Exchange, Inc. Form 4 November 02, 2015

November 0	2, 2015										
FORM	Δ								OMB AF	PROVAL	
Washington, D.C. 20549							OMB Number:	3235-0287			
Check th if no long subject to Section 1 Form 4 c	IENT OF	F CHANGES IN BENEFICIAL OWN SECURITIES					ERSHIP OF	Expires: Estimated a burden hour response			
may cont	Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,See InstructionSection 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type]	Responses)										
1. Name and Address of Reporting Person <u>*</u> Goone David S			2. Issuer Name and Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer			
		Ι	ntercont	tinental	Exchange	e, Inc	. [ICE]	(Check	all applicable)	
(Last)	(First) (M		3. Date of Earliest Transaction				Director	10%	Owner		
5660 NEW NORTHSIDE DRIVE			(Month/Day/Year) 10/29/2015					Officer (give title Other (specify below) below) Chief Strategic Officer			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
ATLANTA	, GA 30328							Form filed by Me Person	ore than One Re	porting	
(City)	(State)	(Zip)	Table	I - Non-I	Derivative	Secur	ities Acqu	iired, Disposed of,	or Beneficial	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	tion Date 2A. Deemed y/Year) Execution Date, if any (Month/Day/Year)		3.4. Securities Acquired (ATransactionor Disposed of (D)Code(Instr. 3, 4 and 5)(Instr. 8)				Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	10/29/2015		,	Code V M	Amount 8,900 (1)	(A) or (D) A	Price \$ 189.43	Reported Transaction(s) (Instr. 3 and 4) 34,745	(I) (Instr. 4) D		
Common Stock	10/29/2015			S	12,469 (1)	D	\$ 260	22,276 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: Intercontinental Exchange, Inc. - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transactionof Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Derivative Expiration Date ecurities (Month/Day/Year) cquired A) or isposed of D) nstr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy) Holding	\$ 189.43	10/29/2015		М	8,900	(3)	12/28/2017	Common Stock	8,900

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Goone David S 5660 NEW NORTHSIDE DRIVE ATLANTA, GA 30328			Chief Strategic Officer				
Cignotures							

Signatures

Person

/s/ Andrew J. Surdykowski	11/02/2015
**Signature of Reporting	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a pre-arranged trading plan established in accordance with Rule 10b5-1 of the Securities Act of 1934, as amended.

The common stock number referred in Table I is an aggregate number and represents 10,689 shares of common stock, 4,926 unvested restricted stock units and 6,661 unvested performance based restricted stock units, for which the performance period has been satisfied. The restricted stock units and the performance based restricted stock units vest over a three year period, in which 33.33% of the

- (2) The restricted stock units and the performance based restricted stock units vest over a unice year period, in which 55.55% of the performance based restricted stock units vest each year. The satisfaction of the performance target for the 2015 performance based restricted stock units and the corresponding number of shares of common stock to be issued pursuant to that award will not be determined until February 2016 and will be reported at that time.
- (3) These options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Γ

Edgar Filing: Intercontinental Exchange, Inc. - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.