

EXTREME NETWORKS INC

Form 3

May 04, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Brooker Eileen

(Last) (First) (Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

04/22/2015

3. Issuer Name and Ticker or Trading Symbol
EXTREME NETWORKS INC [EXTR]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

____ Director _____ 10% Owner
☒ Officer _____ Other
 (give title below) (specify below)
 EVP Global Alliances & Strateg

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
 ____ Form filed by More than One Reporting Person

145 RIO ROBLES

(Street)

SAN JOSE, CA 95134

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

13,680

D

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	08/03/2015 ⁽¹⁾	08/03/2018	Common Stock	25,000	\$ 3.29	D	Â
Non-Qualified Stock Option (right to buy)	07/01/2010 ⁽¹⁾	08/03/2016	Common Stock	60,000	\$ 3.53	D	Â
Non-Qualified Stock Option (right to buy)	11/02/2016 ⁽¹⁾	11/02/2019	Common Stock	30,000	\$ 3.54	D	Â
Non-Qualified Stock Option (right to buy)	02/02/2015 ⁽¹⁾	02/02/2018	Common Stock	50,000	\$ 3.68	D	Â
Non-Qualified Stock Option (right to buy)	07/05/2009 ⁽¹⁾	07/05/2015	Common Stock	12,000	\$ 4.09	D	Â
Non-Qualified Stock Option (right to buy)	10/26/2011 ⁽¹⁾	10/26/2017	Common Stock	130,000	\$ 4.25	D	Â
Non-Qualified Stock Option (right to buy)	10/25/2007 ⁽¹⁾	10/25/2015	Common Stock	5,000	\$ 4.49	D	Â
Non-Qualified Stock Option (right to buy)	02/07/2018 ⁽¹⁾	02/07/2021	Common Stock	27,000	\$ 5.67	D	Â
Performance Shares	11/02/2015 ⁽²⁾	11/02/2018	Common Stock	35,000	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Brooker Eileen 145 RIO ROBLES SAN JOSE, CA 95134	Â	Â	Â EVP Global Alliances & Strateg	Â

Signatures

Allison Amadia 04/30/2015

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option grant vests monthly for a period of four years.

(2) Executive Performance Grant being calculated outside of Equity Edge.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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