

SS&C Technologies Holdings Inc

Form 4

September 04, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
PEDONTI PATRICK J

2. Issuer Name **and** Ticker or Trading
Symbol
SS&C Technologies Holdings Inc
[SSNC]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

**C/O SS&C TECHNOLOGIES
HOLDINGS, INC., 80
LAMBERTON ROAD**

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
09/02/2014

____ Director ____ 10% Owner
☒ Officer (give title below) ____ Other (specify below)
SVP and CFO

WINDSOR, CT 06095

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/02/2014		M	13,790 A	\$ 8.77 13,790	D	
Common Stock	09/02/2014 ⁽¹⁾		S ⁽¹⁾	13,790 D	\$ 45.9657 0	D	
Common Stock	09/02/2014		M	1,210 A	\$ 8.77 1,210	D	
Common Stock	09/02/2014 ⁽¹⁾		S ⁽¹⁾	1,210 D	\$ 45.9657 0	D	

(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (right to buy)	\$ 8.77	09/02/2014		M	13,790	<u>(3)</u> 08/09/2016	Common Stock	13,790
Stock Option (right to buy)	\$ 8.77	09/02/2014		M	1,210	<u>(4)</u> 08/09/2016	Common Stock	1,210

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

PEDONTI PATRICK J
C/O SS&C TECHNOLOGIES HOLDINGS, INC.
80 LAMBERTON ROAD
WINDSOR, CT 06095

SVP and CFO

Signatures

Paul G. Igoe, Attorney-in-fact for Patrick J.
Pedonti

09/04/2014

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Open market transaction pursuant to a 10b5-1 trading plan adopted by the Reporting Person in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934, as amended.

The price reported is the weighted average of the shares sold. The shares were sold at varying prices in the range of \$45.28 to \$46.49. The

(2) reporting person undertakes, upon request by the Staff of the Securities and Exchange Commission, or a security holder of the issuer, to provide full information regarding the number of shares sold at each separate price.

(3) The option is a "performance-based" option that vested in full on December 31, 2008.

(4) The option is a "performance-based" option that vested in full on December 31, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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