GLU MOBILE INC

Form 4

August 05, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

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OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * Cannon Gregory J.

2. Issuer Name and Ticker or Trading Symbol

GLU MOBILE INC [GLUU]

3. Date of Earliest Transaction

(Month/Day/Year) 08/01/2014

500 HOWARD STREET, SUITE 300

(First)

(Middle)

Vice President of Finance

5. Relationship of Reporting Person(s) to

(Check all applicable)

10% Owner Other (specify

Applicable Line)

Director

_X__ Officer (give title)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

Issuer

below)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

SAN FRANCISCO, CA 94105

(Ctata)

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securit Transactionor Dispos Code (Instr. 3, 4 (Instr. 8)		ies Acquired (A) ed of (D)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	08/01/2014		M(1)	40,000	A	\$ 0.79	138,443	D		
Common Stock	08/01/2014		S <u>(1)</u>	40,000	D	\$ 5.6993 (2)	98,443	D		
Common Stock	08/01/2014		M(1)	9,666	A	\$ 0.78	108,109	D		
Common Stock	08/01/2014		S <u>(1)</u>	9,666	D	\$ 5.6993 (2)	98,443	D		
	08/01/2014		S(1)	37,596	D		60,847	D		

Common 5.6979 Stock (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exer Expiration D (Month/Day,	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 0.79	08/01/2014		M <u>(1)</u>	40,000	(3)	04/08/2015	Common Stock	40,000
Stock Option (right to buy)	\$ 0.78	08/01/2014		M(1)	9,666	<u>(4)</u>	05/21/2015	Common Stock	9,666

Reporting Owners

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

Cannon Gregory J. 500 HOWARD STREET, SUITE 300 SAN FRANCISCO, CA 94105

Vice President of Finance

Signatures

/s/ Gregory J. 08/05/2014 Cannon

**Signature of Date Reporting Person

Reporting Owners 2

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each of (a) the stock option exercises and the sales of the underlying option shares and (b) the sale of shares held by Mr. Cannon were

 (1) effected pursuant to a trading plan designed to comply with Rule 10b5-1 of the Securities Exchange Act of 1934 that Mr. Cannon entered into on March 7, 2014.
- Represents a weighted average price, as this transaction was executed in multiple trades at prices ranging from \$5.61 to \$5.88. The (2) reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information
- regarding the number of shares sold at each separate price.
- (3) The option vested and became exercisable with respect to 25% of the shares on April 8, 2010 and the remaining shares vested and became exercisable in equal monthly installments over the following three years, such that the option fully vested on April 8, 2013.
- (4) The option was granted on May 21, 2009 and vested and became exercisable in equal monthly installments over three years such that the option fully vested on May 21, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.