### Edgar Filing: PNC FINANCIAL SERVICES GROUP, INC. - Form 3

PNC FINANCIAL SERVICES GROUP, INC.

Form 3 July 10, 2014

# FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB** 3235-0104 Number:

Expires:

January 31, 2005

0.5

**SECURITIES** 

Estimated average burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(City)

(State)

1. Name and Address of Reporting Person \* 2. Date of Event 3. Issuer Name and Ticker or Trading Symbol Requiring Statement Henn Vicki C. PNC FINANCIAL SERVICES GROUP, INC. [PNC] (Month/Day/Year) (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original 07/01/2014 Person(s) to Issuer Filed(Month/Day/Year) **249 FIFTH** (Check all applicable) AVENUE, Â MAILSTOP: P1-POPP-30-1 Director (Street) Owner 6. Individual or Joint/Group X Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Executive Vice President Person PITTSBURGH, Â PAÂ 15222-2707 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1.Title of Security	2. Amount of Securities	3.	4. Nature of Indirect Beneficial
(Instr. 4)	Beneficially Owned	Ownership	Ownership
	(Instr. 4)	Form:	(Instr. 5)
		Direct (D)	
		or Indirect	
		(I)	
		(Instr. 5)	
\$5 Par Common Stock	4,116 (1)	D	Â
\$5 I ai Common Stock	4,110 <u>~</u>	Ъ	Α
\$5 Par Common Stock	$2,800^{(2)}$	D	Â
	<u> </u>		
\$5 Par Common Stock	$2,026 \frac{(3)}{}$	I	401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 6. Nature of Indirect 2. Date Exercisable and 3. Title and Amount of 5. (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial

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	(Month/Day/Year)  Date Exercisable	Expiration Date	Derivative Sec (Instr. 4) Title	Amount or Number of Shares	or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	Ownership (Instr. 5)
Employee Stock Option (Right-to-Buy)	02/09/2012	02/09/2021	\$5 Par Common	3,000	\$ 64.21	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Henn Vicki C.					
249 FIFTH AVENUE	Â	Â	Executive Vice President	â	
MAILSTOP: P1-POPP-30-1	А	А	A Executive vice Flesident	A	
PITTSBURGH, PA 15222-2707					

# **Signatures**

Christi Davis, Attorney-in-Fact for Vicki C. Henn 07/10/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 1,200 shares of restricted stock that were previously granted and will vest on February 7, 2015.
- (2) Represents restricted share units payable solely in stock. Vesting of the Restricted Share Units will take place on two different dates. 1,200 Restricted Share Units will vest on February 14, 2016 and 1,600 Restricted Share Units will vest on February 13, 2017.

The amount of securities beneficially owned represents the number of shares of common stock indirectly held for the account of the reporting person under The PNC Financial Services Group, Inc. ("PNC") Incentive Savings Plan (the "ISP"). Shares of PNC common

(3) stock are not directly allocated to ISP participants, but instead are held in a unitized fund, approximately 98% of which consists of PNC common stock, and the remainder of which is invested in a money market fund. The percentage of assets in the unitized fund investment option that are deemed to be invested in PNC common stock may vary from time to time.

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#### **Remarks:**

See attached footnotes page.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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