

LSI CORP
Form 4
May 08, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
MINER JOHN HF

(Last) (First) (Middle)

C/O LSI CORPORATION, 1320
RIDDER PARK DRIVE

(Street)

SAN JOSE, CA 95131

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
LSI CORP [LSI]

3. Date of Earliest Transaction
(Month/Day/Year)
05/06/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify
below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock	05/06/2014		D		38,760	D \$ 11.15	0 D
Common Stock	05/06/2014		D		17,560	D \$ 11.15	0 I By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(1)	05/06/2014		D		5,770		03/01/2015	03/01/2015	Common Stock	5,770
Director Stock Option (right to buy)	\$ 8.83	05/06/2014		D		30,000		(3)	07/07/2016	Common Stock	30,000
Director Stock Option (right to buy)	\$ 10.23	05/06/2014		D		30,000		10/01/2007	04/01/2017	Common Stock	30,000
Director Stock Option (right to buy)	\$ 5.11	05/06/2014		D		30,000		10/01/2008	04/01/2018	Common Stock	30,000
Director Stock Option (right to buy)	\$ 3.29	05/06/2014		D		30,000		10/01/2009	04/01/2016	Common Stock	30,000
Director Stock Option (right to buy)	\$ 4.96	05/06/2014		D		50,000		02/20/2010	08/20/2016	Common Stock	50,000
Director Stock Option (right to buy)	\$ 5.51	05/06/2014		D		43,556		09/01/2010	03/01/2017	Common Stock	43,556
	\$ 6.18	05/06/2014		D		38,831		09/01/2011	03/01/2018		38,831

Director
Stock
Option
(right to
buy)

Common
Stock

Director
Stock
Option
(right to
buy)

\$ 8.53

05/06/2014

D

39,961

09/01/2012

03/01/2019

Common
Stock

39,961

Director
Stock
Option
(right to
buy)

\$ 6.89

05/06/2014

D

49,180

09/01/2013

03/01/2020

Common
Stock

49,180

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MINER JOHN HF C/O LSI CORPORATION 1320 RIDDER PARK DRIVE SAN JOSE, CA 95131				X

Signatures

Susan Solner Janjigian, by power of
attorney

05/08/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each restricted stock unit represents a contingent right to receive one share of LSI common stock.

(2) These restricted stock units were canceled in connection with the merger of LSI and a subsidiary of Avago Technologies Limited in exchange for a cash payment of \$11.15 per restricted stock unit.

(3) This option becomes exercisable at the rate of 25% per year, beginning July 7, 2007.

(4) This option was canceled in connection with the merger of LSI and a subsidiary of Avago Technologies Limited in exchange for a cash payment per share equal to the difference between \$11.15 and the exercise price of the option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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