ChemoCentryx, Inc. Form 4 April 30, 2014

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

Number:

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may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Schall Thomas J.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

(City)

(First) (Middle) 3. Date of Earliest Transaction

ChemoCentryx, Inc. [CCXI]

\_X\_\_ Director

C/O CHEMOCENTRYX, INC., 850

(Street)

(State)

04/28/2014

(Month/Day/Year)

10% Owner Other (specify X\_ Officer (give title below)

6. Individual or Joint/Group Filing(Check

President and CEO

(Check all applicable)

**MAUDE AVENUE** 

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

(Zin)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**MOUNTAIN VIEW, CA 94043** 

| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-l                            | Derivative                                | Secur | ities Acqui         | red, Disposed of,  | or Beneficiall   | y Owned   |
|--------------------------------------|---|---|---|---|-------|---------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securit<br>oppr Dispos<br>(Instr. 3, 4 | ed of | ` ′                 | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common<br>Stock                      | 04/28/2014                              |   | M                                       | 18,233                                    | A     | \$ 0.6              | 2,166,900 (1)  | D  |   |
| Common<br>Stock                      | 04/28/2014                              |   | S(2)                                    | 18,233<br>(3)                             | D     | \$ 5.327<br>(4)     | 2,148,667  | D  |   |
| Common<br>Stock                      | 04/29/2014                              |   | M                                       | 14,041                                    | A     | \$ 0.6              | 2,162,708  | D  |   |
| Common<br>Stock                      | 04/29/2014                              |   | S(2)                                    | 14,041<br>(3)                             | D     | \$<br>5.4551<br>(5) | 2,148,667  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount o<br>Underlying Securities<br>(Instr. 3 and 4) |                                    |
|---|---|---|---|--|---|--|--------------------|--|------------------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date Exercisable   | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Share |
| Stock<br>Option<br>(right to<br>buy                 | \$ 0.6  | 04/28/2014                              |   | M                                      | 18,233  | 05/13/2004(6)  | 05/13/2014         | Common<br>Stock  | 18,233                             |
| Stock<br>Option<br>(right to<br>buy                 | \$ 0.6  | 04/29/2014                              |   | M                                      | 14,041  | 05/13/2004(6)  | 05/13/2014         | Common<br>Stock  | 14,041                             |

# **Reporting Owners**

**MOUNTAIN VIEW, CA 94043** 

| Reporting Owner Name / Address | Relationships |           |                    |       |  |  |  |
|--------------------------------|---------------|-----------|--------------------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer            | Other |  |  |  |
| Schall Thomas J.               |               |           |                    |       |  |  |  |
| C/O CHEMOCENTRYX, INC.         | X             |           | President and CEO  |       |  |  |  |
| 850 MAUDE AVENUE               | 2 1           |           | r restaem una ello |       |  |  |  |

## **Signatures**

/s/ Susan M. Kanaya, as
Attorney-in-Fact
04/30/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Excludes 40 shares that were inadvertently added to the Reporting Person's prior Form 4 filed on February 20, 2014
- (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.

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- (3) Shares sold are associated with stock options which will expire in May 2014.
  - Reflects the weighted average sale price of the shares sold. The shares were sold in multiple trades at prices ranging from \$5.07 to \$5.60
- (4) per share, inclusive. The Reporting Person will provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.
- Reflects the weighted average sale price of the shares sold. The shares were sold in multiple trades at prices ranging from \$5.31 to \$5.52
- (5) per share, inclusive. The Reporting Person will provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.
- (6) As of April 28, 2014 and prior to this transaction, the option fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.