

GLOBE SPECIALTY METALS INC  
 Form 4  
 September 09, 2013

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Schriber Alan Richard

2. Issuer Name and Ticker or Trading Symbol  
 GLOBE SPECIALTY METALS INC [GSM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
 250 W 34TH ST, SUITE 4125  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 08/20/2013

Director  10% Owner  
 Officer (give title below)  Other (specify below)

NEW YORK, NY 10119

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |     |       |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|-----|-------|
|                                 |                                      |  |                                | (A) or (D)  | Code  | V  | Amount                            | (D) | Price |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any | 4. Transaction Code | 5. Number of Derivative Securities | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|

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| (Instr. 3)                          | Price of<br>Derivative<br>Security | (Month/Day/Year) | (Instr. 8) | Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | Code V | (A) | (D) | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares |
|-------------------------------------|------------------------------------|------------------|------------|--|--------|-----|-----|---------------------|--------------------|-----------------|-------------------------------------|
| Options                             | \$ 12.54                           | 08/20/2013       | A          | 25,000   |        |     | (1) | 08/20/2018          |                    | Common<br>Stock | 25,000                              |
| Stock<br>Appreciation<br>Rights (2) | \$ 12.54                           | 08/20/2013       | A          | 12,784   |        |     | (3) | 08/20/2018          |                    | Common<br>Stock | 12,784                              |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| Schriber Alan Richard<br>250 W 34TH ST, SUITE 4125<br>NEW YORK, NY 10119 |               | X         |         |       |

## Signatures

/s/ Stephen Lebowitz, attorney in fact for Alan R.  
Schriber

09/09/2013

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Options shall vest and become exercisable in three increments as follows: 8,333 Options will vest and become exercisable on (1) 8/20/2014; 8,333 Options will vest and become exercisable on 8/20/2015; and 8,334 Options will vest and become exercisable on 8/20/2016.

(2) Each Stock Appreciation Right grants to the Reporting Person, the right to receive payment from the Issuer, on the Expiration Date, of a cash amount equal to the difference obtained by subtracting the Exercise Price from the Fair Market Value of one share of the Issuer's Common Stock on the Expiration Date.

(3) The Stock Appreciation Rights vest and become exercisable in three increments as follows: 4,261 will vest and become exercisable on 8/20/14, 4,261 will vest and become exercisable on 8/20/15 and 4,262 will vest and become exercisable on 8/20/16.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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