Edgar Filing: Stich Joseph J. - Form 4

Stich Joseph	J.										
Form 4 March 19, 20)13										
	_								OMB AF	PROVAL	
FORM	UNITED	STATES		RITIES A			NGE C	COMMISSION	OMB Number:	3235-0287	
Check the if no long	ter								Expires:	January 31, 2005	
subject to STATEMENT OF CHAP				IGES IN BENEFICIAL OWN SECURITIES				NERSHIP OF	Estimated a	verage	
	Section 16. SECURITIES Form 4 or						burden hours per response				
Form 5	.						•	e Act of 1934,		0.5	
obligation may cont				•	•	· ·		1935 or Section	ı		
See Instru 1(b).	uction	30(n)	of the In	vestment	Compan	y Ac	t of 194	0			
(Print or Type F	Responses)										
			Symbol	2. Issuer Name and Ticker or Trading Symbol SURMODICS INC [SRDX]				 Relationship of Reporting Person(s) to Issuer (Check all applicable) 			
			SURMO								
(Last)	(First)	(Middle)		Earliest Tra	ansaction			Director	100	Owner	
9924 WEST	74TH STREET		(Month/D 03/15/20	-				Officer (give below)		er (specify	
	(Street)		4. If Ame	ndment, Dat	te Origina	1		6. Individual or Jo	int/Group Filin	g(Check	
Filed(Mo			d(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person				
EDEN PRA	IRIE, MN 5534	4							lore than One Re		
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date any (Month/Day/		on Date, if	Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) ny/Year) (Instr. 8)			Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	03/15/2013			F <u>(1)</u>	1,460	D	\$ 26.62	4,504 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address			Relationships				
	Director	10% Owner	Officer	Other			
Stich Joseph J. 9924 WEST 74TH STREET EDEN PRAIRIE, MN 55344			VP, Bus Ops & GM, IVD				
Signatures							
/s/ Bryan K. Phillips, on behalf Stich	of Joseph	n J.	03/19/2013				
<u>**</u> Signature of Reporting Per	son		Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person makes a payment of tax liability by withholding securities incident to the vesting of his restricted stock award issued in accordance with Rule 16b-3.
- (2) Includes a total of 1,964 shares acquired through the Employee Stock Purchase Plan. The reporting person acquired 181 shares for the phase ended 2/28/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.