GREEN MARIA C Form 4

February 20, 2013

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* GREEN MARIA C

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

Issuer

ILLINOIS TOOL WORKS INC

(Check all applicable)

5. Relationship of Reporting Person(s) to

[ITW]

(Last)

ILLINOIS TOOL WORKS

3. Date of Earliest Transaction

Director X\_ Officer (give title

10% Owner Other (specify

(Month/Day/Year) 02/15/2013

INC., 3600 WEST LAKE AVENUE

(Middle)

(Zip)

below) Sr. VP, General Counsel & Secy

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

GLENVIEW, IL 60026

(City)

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Reported Transaction(s)

or

(A)

(Instr. 3 and 4)

Common Stock

Code V Amount (D) Price

11,809 D

I

Common Stock

343

See Footnote (1)

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**SEC 1474** 

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed or (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	An or Nu of
Employee Stock Option	\$ 47.13					12/10/2005	12/10/2014	Common Stock	13
Employee Stock Option	\$ 42.08					12/07/2006	02/01/2016	Common Stock	13
Employee Stock Option	\$ 51.6					02/09/2008	02/09/2017	Common Stock	7
Employee Stock Option	\$ 48.51					02/08/2009	02/08/2018	Common Stock	7
Employee Stock Option	\$ 55.81					02/11/2012(2)	02/11/2021	Common Stock	10
Restricted Stock Unit (granted 2/11/2011)	\$ 0					<u>(4)</u>	<u>(4)</u>	Common Stock	1
Employee Stock Option	\$ 55.71					02/10/2013(2)	02/10/2022	Common Stock	43
Performance Restricted Stock Unit (granted 2/10/2012)	\$ 0					<u>(5)</u>	<u>(5)</u>	Common Stock	5
Employee Stock Option	\$ 63.25	02/15/2013		A	33,910	02/15/2014(2)	02/15/2023	Common Stock	33
Performance Restricted Stock Unit (granted 2/15/2013)	\$ 0	02/15/2013		A	5,270	<u>(5)</u>	<u>(5)</u>	Common Stock	5

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# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GREEN MARIA C ILLINOIS TOOL WORKS INC. 3600 WEST LAKE AVENUE GLENVIEW, IL 60026

Sr. VP, General Counsel & Secy

### **Signatures**

/s/ Maria C. Green, Senior Vice President, General Counsel & Secretary

02/20/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of common stock allocated to my account in the Illinois Tool Works Inc. Savings & Investment Plan as of February 15, 2013.
- (2) Options vest in four (4) equal annual installments beginning one year from the date of grant.
- (3) Each restricted stock unit (RSU) and performance restricted stock unit (PRSU) represents a contingent right to receive one share of the Company's common stock.
- (4) Each RSU vests 100% three years from the date of grant.
- (5) Each PRSU vests 100% three years from the date of grant if performance goals are met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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