BALLARD GREGORY L

Form 4

October 07, 2009

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB 3235-0287

OMB APPROVAL

Number:

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * BALLARD GREGORY L

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First)

(Middle)

GLU MOBILE INC [GLUU]

(Check all applicable)

C/O GLU MOBILE INC., 2207 BRIDGEPOINTE PARKWAY,

SUITE 250

3. Date of Earliest Transaction

(Month/Day/Year) 10/05/2009

X Director 10% Owner X_ Officer (give title Other (specify below)

President and CEO

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

SAN MATEO, CA 94404

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A Transactiomr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price			(D)	5. Amount of Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	10/05/2009		M	80,000	A	\$ 0.75	80,000	D		
Common Stock	10/05/2009		S(1)	80,000	D	\$ 1.3355 (2)	0	D		
Common Stock							310,586	I	Held in trust (3)	
Common Stock							75,000	I	Held in trust (4)	
							26,688	I		

Common Custodian Stock for minor children (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Stock Option (right to buy)	\$ 0.75	10/05/2009		M	80,000	11/03/2004(6)	11/03/2009(7)	Common Stock	80,0

Reporting Owners

Reporting Owner Name / Address	Ketationships					
	Director	10% Owner	Officer	Other		
BALLARD GREGORY L C/O GLU MOBILE INC. 2207 BRIDGEPOINTE PARKWAY, SUITE 250 SAN MATEO, CA 94404	X		President and CEO			

Signatures

/s/ L. Gregory Ballard by Kevin S. Chou, Attorney-in-Fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These sales were effected pursuant to a previously entered into trading plan designed to comply with Rule 10b5-1 of the Securities Exchange Act of 1934.

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- Represents a weighted average price, as this transaction was executed in multiple trades at prices ranging from \$1.25 to \$1.35. The

 (2) reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares sold at each separate price.
- (3) These shares are held by the L. Gregory Ballard and Lucy H. Ballard Revocable Trust U/A/D October 26, 1996, of which Mr. Ballard is a co-trustee.
- (4) These shares are held in the L. Gregory Ballard & Lucy H. Ballard Trustees for the Ballard Family GRAT.
- (5) These shares are held in custodial accounts for Mr. Ballard's minor children.
- (6) This option was immediately exercisable and vested monthly over a four-year period.
- This option is scheduled to expire during a closed trading window under Glu Mobile Inc.'s Insider Trading Policy. In order to ensure that this in-the-money option does not expire unexercised, Mr. Ballard entered into a Rule 10b5-1 trading plan during the most recent open trading window in August 2009 in order to facilitate the sale of 140,000 of the 166,666 shares subject to this option. Mr. Ballard has now completed the sale of the 140,000 shares covered by his Rule 10b5-1 trading plan and there will be no more sales made under this plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.