#### BALLARD GREGORY L

Form 4

September 22, 2009

#### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

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if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* BALLARD GREGORY L

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

(Check all applicable)

President and CEO

Issuer

below)

(Last)

(First)

(Middle)

GLU MOBILE INC [GLUU] 3. Date of Earliest Transaction

\_X\_ Director

10% Owner X\_ Officer (give title Other (specify

C/O GLU MOBILE INC., 2207 BRIDGEPOINTE PARKWAY, **SUITE 250** 

(Street)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

(Month/Day/Year)

09/18/2009

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

SAN MATEO, CA 94404

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	09/18/2009		Code V M	Amount 60,000	(D)	Price \$ 0.75	60,000	D		
Common Stock	09/18/2009		S <u>(1)</u>	60,000	D	\$ 1.134 (2)	0	D		
Common Stock							310,586	I	Held in trust (3)	
Common Stock							75,000	I	Held in trust (4)	
							26,688	I		

Common Custodian Stock for minor children (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo Num Share
Stock Option (right to buy)	\$ 0.75	09/18/2009		M	60,000	11/03/2004(6)	11/03/2009(7)	Common Stock	166

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
BALLARD GREGORY L						
C/O GLU MOBILE INC.	X		President and CEO			
2207 BRIDGEPOINTE PARKWAY, SUITE 250	Λ		Flesidelli alid CEO			
SAN MATEO, CA 94404						

## **Signatures**

/s/ L. Gregory Ballard by Kevin S. Chou,
Attorney-in-Fact
09/22/2009

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These sales were effected pursuant to a previously entered into trading plan designed to comply with Rule 10b5-1 of the Securities Exchange Act of 1934.

Reporting Owners 2

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- Represents a weighted average price, as this transaction was executed in multiple trades at prices ranging from \$1.13 to \$1.16. The

  (2) reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares sold at each separate price.
- (3) These shares are held by L. Gregory Ballard and Lucy H. Ballard Revocable Trust U/A/D October 26, 1996, of which Mr. Ballard is a Co-Trustee.
- (4) These shares are held in the L. Gregory Ballard & Lucy H. Ballard Trustees for the Ballard Family GRAT.
- (5) These shares are held in custodial accounts for Mr. Ballard's minor children.
- (6) This option was immediately exercisable and vested monthly over a four-year period.
- This option is scheduled to expire during a closed trading window under Glu Mobile Inc.'s Insider Trading Policy. In order to ensure that this in-the-money option does not expire unexercised, Mr. Ballard entered into a Rule 10b5-1 trading plan during the most recent open trading window in August 2009 in order to facilitate the sale of 140,000 of the 166,666 shares subject to this option. Mr. Ballard expects to exercise and sell the remaining 80,000 shares covered by his Rule 10b5-1 trading plan during October 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.