Edgar Filing: KONA GRILL INC - Form 4

KONA GRILL I Form 4	NC								
February 11, 201	13							<u></u>	
FORM 4		STATES	SECU	RITIFS /	AND FX	CHANGE	COMMISSIO	NT	PPROVAL
	STATES		shington				Number:	3235-0287	
Check this bo if no longer subject to Section 16. Form 4 or Form 5	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					Estimated burden hou response	urs per		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type Respo	onses)								
1. Name and Address of Reporting Person <u>*</u> SCHUSSLER STEVEN W			2. Issuer Name and Ticker or Trading Symbol KONA GRILL INC [KONA]			5. Relationship of Reporting Person(s) to Issuer			
						(Check all applicable)			
(Last) (First) (Middle) 858 DECATUR AVENUE NORTH			3. Date of Earliest Transaction (Month/Day/Year) 02/07/2013			X_ Director 10% Owner Officer (give title Other (specify below) below)			
			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person			
GOLDEN VAL	LEY, MN 55	5427					Form filed by Person	More than One R	eporting
(City)	(State)	(Zip)	Tab	ole I - Non-J	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned
	ransaction Date nth/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, 4 Amount	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Reminder: Report o	n a separate line	e for each cla	ass of sec	urities bene	ficially ow	ned directly	or indirectly.		
					Perso inform requir	ns who res nation cont ed to resp lys a curre	spond to the colle tained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securities	D
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	S
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)			(]

	Derivative Security			(E (Iı	Dispose)) nstr. 3, 4 nd 5)					
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (Right to Buy)	\$ 8.71	02/07/2013	А	1	1,000		<u>(1)</u>	02/06/2018	Common Stock	11,000
Reporting Owners										

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
SCHUSSLER STEVEN W 858 DECATUR AVENUE NORTH GOLDEN VALLEY, MN 55427	Х					
Signatures						
/s/ by Christi Hing, as attorney-in-fact	02/	/11/2013				
**Signature of Reporting Person		Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 25% of the total number of options granted vest and become exercisable on each of May 7, 2013, August 7, 2013, November 7, 2013 and February 7, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. 8,000 D Restricted Stock Unit (3) (6) (5) Common Stock 7,000 7,000 D

Reporting Owners

Reporting Owner Name / Address			Relationships	
I B I I I I I I I I I I I I I I I I I I	Director	10% Owner	Officer	Other
MERRILL MARK G NETGEAR, INC. 4500 GREAT AMERICA PARKWAY SANTA CLARA, CA 95054			Chief Technology Officer	

Signatures

/s/ Mark Merrill

05/15/2007

**Signature of	
Reporting Person	

]

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This stock option was granted under the NETGEAR, Inc. 2000 Option Plan on December 9, 2000, and is fully-vested and exercisable at this time.
- (2) This stock option was granted under the NETGEAR, Inc. 2000 Option Plan on October 30, 2002, and is fully-vested and exercisable at this time.
- (3) Converts to common stock on a one-for-one basis.
- (4) Stock units will be paid in an equal number of shares of the Issuer's common stock upon vesting of the units. 25% of the units will cliff vest on each anniversary of 5/23/06, the vest start date, so that all of the units will have vested on 5/23/10.
- (5) Not applicable.
- (6) Stock units will be paid in an equal number of shares of the Issuer's common stock upon vesting of the units. 25% of the units will cliff vest on each anniversary of 1/12/07, the vest start date, so that all of the units will have vested on 1/12/11.
- (7) This transaction is made pursuant to a Rule 10b5-1 Plan that was adopted in November 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.