

HERITAGE FINANCIAL CORP /WA/
Form 8-K
July 25, 2014

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported):

July 24, 2014

HERITAGE FINANCIAL CORPORATION

(Exact name of registrant as specified in its charter)

WASHINGTON
(State or other jurisdiction

of incorporation)

0-29480
(Commission

File Number)

91-1857900
IRS Employer

Identification No.

201 Fifth Avenue S.W.

Olympia WA
(Address of principal executive offices)

98501
(Zip Code)

Registrant's telephone number, including area code: (360) 943-1500

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions.

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.07 Submission of Matters to a Vote of Security Holders

(a) The Annual Meeting of the Company was held on July 24, 2014.

(b) There were a total of 30,200,590 of the Company's common stock outstanding and entitled to vote at the Annual Meeting. At the Annual Meeting, 27,099,855 shares of common stock were represented in person or by proxy, therefore a quorum was present. The following proposals were submitted by the Board of Directors to a vote of shareholders:

Proposal 1. Election of Directors. The following individuals were elected as directors for one year terms:

| | FOR | WITHHELD | BROKER NON-VOTES |
|-----------------------|------------|------------|---------------------|
| | # of votes | # of votes | # of votes |
| Rhoda L. Altom | 23,206,971 | 320,830 | 3,553,556 |
| David H. Brown | 23,203,450 | 324,351 | 3,553,556 |
| Brian S. Charneski | 23,147,514 | 380,287 | 3,553,556 |
| Gary B. Christensen | 23,206,613 | 321,188 | 3,553,556 |
| John A. Clees | 23,204,627 | 323,174 | 3,553,556 |
| Mark D. Crawford | 23,205,132 | 322,669 | 3,553,556 |
| Kimberly T. Ellwanger | 23,193,621 | 334,180 | 3,553,556 |
| Deborah J. Gavin | 23,204,658 | 323,143 | 3,553,556 |
| Jay T. Lien | 23,183,874 | 343,927 | 3,553,556 |
| Jeffrey S. Lyon | 23,146,836 | 380,965 | 3,553,556 |
| Gragg E. Miller | 23,195,446 | 332,355 | 3,553,556 |
| Anthony B. Pickering | 23,199,032 | 328,769 | 3,553,556 |
| Robert T. Severns | 23,205,673 | 322,128 | 3,553,556 |
| Brian L. Vance | 23,182,695 | 345,106 | 3,553,556 |
| Ann Watson | 23,205,632 | 322,169 | 3,553,556 |

Based on the votes set forth above, the above named directors were duly elected to serve as directors of the Company for a one year term expiring at the annual meeting of shareholders in 2015 and until their respective successors have been duly elected and qualified.

Proposal 2. Advisory (Non-binding) approval of the compensation of the Company's named executive officers. This proposal received the following votes:

| FOR | AGAINST | ABSTAIN | BROKER NON-VOTES |
|------------|-----------|---------|------------------|
| 21,205,536 | 1,790,169 | 657,012 | 3,553,138 |

Based on the votes set forth above, the compensation of the Company's named executive officers was approved by shareholders.

Proposal 3. The approval of the Heritage Financial Corporation 2014 Omnibus Equity Plan. This proposal received the following votes:

| FOR | AGAINST | ABSTAIN | BROKER NON-VOTES |
|------------|-----------|---------|------------------|
| 21,173,883 | 2,032,045 | 340,789 | 3,553,138 |

Based on the votes set forth above, the Heritage Financial Corporation 2014 Omnibus Equity Plan was approved by the shareholders.

Proposal 4. Ratification of the appointment of Crowe Horwath LLP as the Company's independent auditors for the year ending December 31, 2014. This proposal received the following votes:

| FOR | AGAINST | ABSTAIN | BROKER NON-VOTES |
|------------|---------|---------|------------------|
| 26,939,443 | 48,521 | 111,891 | |

Based on the votes set forth above, the appointment of Crowe Horwath LLP as the Company's independent registered public accounting firm for the year ending December 31, 2014 was duly ratified by the shareholders.

(c) None.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, hereunto duly authorized.

Dated: July 25, 2014

HERITAGE FINANCIAL CORPORATION

By: /s/ Brian L. Vance
Brian L. Vance
President and Chief Executive Officer