KOCH DAVID A Form SC 13G/A January 20, 2009

# **UNITED STATES**

# SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# Schedule 13G

**Under the Securities Exchange Act of 1934** 

(Amendment No. 10)

SurModics, Inc.

(Name of Issuer)

Common Stock, \$.05 par value

(Title of Class of Securities)

868873 10 0

(CUSIP Number)

December 31, 2008

(Date of Event Which Requires Filing of this Statement)

# Edgar Filing: KOCH DAVID A - Form SC 13G/A

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

" Rule 13d-1(b)

" Rule 13d-1(c)

x Rule 13d-1(d)

#### CUSIP NO. 868873 10 0

Name of reporting persons:

I.R.S. Identification Nos. of above persons (entities only):

### David A. Koch

1. Check the appropriate box if a member of a group:

(a) "

(b) "

2. SEC use only:

3. Citizenship or place of organization:

#### USA

4. Sole voting power:

#### Number of

| shares       | 5. | <b>290,003 (includes 4,000 shares which may be purchased upon the exercise of options)</b><br>Shared voting power:      |
|--------------|----|---|
| beneficially |    |   |
| owned by     |    | 35,000  |
| each         | 6. | Sole dispositive power:   |
| reporting    |    |   |
| person       | 7. | <b>290,003 (includes 4,000 shares which may be purchased upon the exercise of options)</b><br>Shared dispositive power: |
| with:        |    |   |

## 35,000

**8.** Aggregate amount beneficially owned by each reporting person:

## 325,003 (includes 4,000 shares which may be purchased upon the exercise of options)

9. Check if the aggregate amount in Row (9) excludes certain shares

...

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10. Percent of class represented by amount in Row 9:

1.8%

11. Type of reporting person:

IN

#### CUSIP NO. 868873 10 0

| Item 1  | (a).  | Name of issuer:                                  |
|---------|---|--|
|         |   | SurModics, Inc.                                  |
| Item 1  | (b).  | Address of issuer s principal executive offices: |
|         |   | 9924 West 74 <sup>th</sup> Street                |
|         |   | Eden Prairie, MN 55344-3523                      |
| Item 2  | (a).  | Names of person filing:                          |
|         |   | David A. Koch                                    |
| Item 2  | (b).  | Address of principal business office:            |
|         |   | 505 N Highway 169                                |
|         |   | Suite 595  |
|         |   | Plymouth, MN 55441                               |
| Item 2  | (c).  | Citizenship:                                     |
|         |   | USA  |
| Item 2  | (d).  | Title of class of securities:                    |
|         |   | Common Stock, \$.05 par value                    |
| Item 2  | (e).  | CUSIP No.:                                       |
|         |   | 868873 10 0                                      |
| Item 3. | If this statement is filed pursuant to Secs. 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a: |  |

Not applicable

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#### CUSIP NO. 868873 10 0

#### Item 4. Ownership

- (a) Amount beneficially owned: 325,003
- (b) Percent of class: 1.8%
- (c) Number of shares as to which the person has:
  - (i) Sole power to vote or to direct the vote: **290,003 (includes 4,000 shares which may be purchased upon the exercise of options)**
  - (ii) Shared power to vote or to direct the vote: 35,000
  - (iii) Sole power to dispose or to direct the disposition of: 290,003 (includes 4,000 shares which may be purchased upon the exercise of options)
  - (iv) Shared power to dispose or to direct the disposition of: 35,000
- Item 5. Ownership of 5 percent or Less of a Class: x
- Item 6. Ownership of More than 5 Percent on Behalf of Another Person: Not applicable
- Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person: **Not applicable**
- Item 8. Identification and Classification of Members of the Group: Not applicable
- Item 9. Notice of Dissolution of Group: Not applicable
- Item 10. Certifications Not applicable

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## Signature

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: January 20, 2009

Signature

/s/ David A. Koch

David A. Koch

Name/Title