### FLOTEK INDUSTRIES INC/CN/

Form 4

January 28, 2015

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

0.5

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

**OMB APPROVAL** 

burden hours per

response...

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading REEVES STEVEN A Issuer Symbol FLOTEK INDUSTRIES INC/CN/ (Check all applicable) [FTK] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) 10603 W. SAM HOUSTON PKWY. 01/26/2015 Exec. VP, Operations N STE. 300

> (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

> > Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

HOUSTON, TX 77064

(State)

(Zin)

(City)

| (City)                               | (State)                                 | Tabl  | e I - Non-I | Derivative S  | Securi   | ities Acqu   | iired, Disposed of  | f, or Beneficial | ly Owned       |
|--------------------------------------|---|---|-------------|---------------|--|--|---|------------------|----------------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or |             |               | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                  |                |
| Common<br>Stock                      | 01/26/2015                              |   | Code V A    | Amount 55,714 | (D)  | Price \$ 16.66   | 298,569   | D                |                |
| Common<br>Stock                      |   |   |             |               |  |  | 21,087  | I                | Flotek<br>401K |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: FLOTEK INDUSTRIES INC/CN/ - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transac<br>Code<br>(Instr. 8 | 5. etionNumber of Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Number Expiration Date of (Month/Day/Year) Derivative Securities Acquired A) or Disposed of (D) Instr. 3, |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                  |
|---|---|---|---|------------------------------------|---|---|--------------------|---|----------------------------------|
|   |   |   |   | Code                               | V (A) (D)   | Date<br>Exercisable   | Expiration<br>Date | Title   | Amount or<br>Number of<br>Shares |
| Common<br>Stock                                     | \$ 9.19   |   |   |                                    |   | 04/08/2012  | 04/07/2017         | Common<br>Stock   | 200,000                          |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Exec. VP, Operations

De

REEVES STEVEN A 10603 W. SAM HOUSTON PKWY. N STE. 300 HOUSTON, TX 77064

Cianaturas

## **Signatures**

/S/ Steven A. Reeves 01/28/2015

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

### **Remarks:**

Acquisition of 55,714 shares from 2014 Performance Bonus Plan awarded January 26, 2015 at the closing price of \$16.66.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2