

FISERV INC

Form 4

February 13, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
DILLON DONALD F

(Last) (First) (Middle)

255 FISERV DRIVE

(Street)

BROOKFIELD, WI 53045

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
FISERV INC [FISV]

3. Date of Earliest Transaction
(Month/Day/Year)
02/11/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	02/11/2014		M	826 ⁽¹⁾ A	\$ 19.37 ⁽¹⁾ 3,507,544 ⁽¹⁾	D	
Common Stock	02/11/2014		S	826 D	\$ 55.33 ⁽²⁾ 3,506,718	D	
Common Stock	02/11/2014		M	918 ⁽¹⁾ A	\$ 17.4 ⁽¹⁾ 3,507,636	D	
Common Stock	02/11/2014		S	918 D	\$ 55.34 ⁽³⁾ 3,506,718	D	

Edgar Filing: FISERV INC - Form 4

Common Stock	02/11/2014	M	6,844 (1)	A	\$ 19.72 (1)	3,513,562	D	
Common Stock	02/11/2014	S	6,844	D	\$ 55.31 (4)	3,506,718	D	
Common Stock						267,500 (1)	I	By Trust (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Option (right to buy)	\$ 19.37 (1)	02/11/2014		M	826 (1)	02/18/2005(6) 02/18/2014	Common Stock 826 (1)
Stock Option (right to buy)	\$ 17.4 (1)	02/11/2014		M	918 (1)	04/06/2005(7) 04/06/2014	Common Stock 918 (1)
Stock Option (right to buy)	\$ 19.72 (1)	02/11/2014		M	6,844 (1)	04/06/2006(8) 04/06/2015	Common Stock 6,844 (1)
Stock Option (right to buy)	\$ 44.68 (1)					(9) 05/22/2023	Common Stock 5,032 (1)
						05/22/2013 05/23/2022	

Stock Option (right to buy)	\$ 33.35 <u>(1)</u>				Common Stock	5,452 <u>(1)</u>
Stock Option (right to buy)	\$ 31.3 <u>(1)</u>	05/23/2012	05/25/2021		Common Stock	5,194 <u>(1)</u>
Stock Option (right to buy)	\$ 23.23 <u>(1)</u>	05/25/2011	05/26/2020		Common Stock	6,864 <u>(1)</u>
Stock Option (right to buy)	\$ 20.68 <u>(1)</u>	05/20/2010	05/20/2019		Common Stock	7,658 <u>(1)</u>
Stock Option (right to buy)	\$ 25.5 <u>(1)</u>	05/20/2009	05/21/2018		Common Stock	6,124 <u>(1)</u>
Stock Option (right to buy)	\$ 26.49 <u>(1)</u>	05/23/2008	05/23/2017		Common Stock	5,096 <u>(1)</u>
Stock Option (right to buy)	\$ 22 <u>(1)</u>	05/24/2007	05/24/2016		Common Stock	6,136 <u>(1)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DILLON DONALD F 255 FISERV DRIVE BROOKFIELD, WI 53045	X			

Signatures

/s/ Lynn S. McCreary
(attorney-in-fact)

02/13/2014

 Signature of Reporting Person

 Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Adjusted to reflect the two-for-one split of Fiserv, Inc. common stock distributed on December 16, 2013 to holders of record on December 2, 2013.

This transaction was executed in multiple trades at prices ranging from \$55.02 to \$55.55. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

- (2) This transaction was executed in multiple trades at prices ranging from \$55.02 to \$55.55. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

- (3) This transaction was executed in multiple trades at prices ranging from \$54.92 to \$55.58. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

- (4) By the Dillon Foundation of which Mr. Dillon serves as a trustee.

- (5) The option vested in 5 equal annual installments beginning February 18, 2005 and is due to expire February 18, 2014.

- (6) The option vested in 5 equal annual installments beginning April 6, 2005 and is due to expire April 6, 2014.

- (7) The option vested in 5 equal annual installments beginning April 6, 2006 and is due to expire April 6, 2015.

- (8) These options vest 100% on the earlier of: (i) the first anniversary of the grant date; or (ii) immediately prior to the first annual meeting of shareholders after the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.