AMBARELLA INC

Form 4

December 30, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Wang Feng-Ming |         |          | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>AMBARELLA INC [AMBA] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                  |  |  |
|--|---------|----------|---|---|--|--|
| (Last)   | (First) | (Middle) | 3. Date of Earliest Transaction   | (Check all applicable)  |  |  |
| 3101 JAY STREET  |         |          | (Month/Day/Year)  | X Director 10% Owner  |  |  |
|  |         |          | 12/26/2013  | X Officer (give title Other (spec below)  |  |  |
|  |         |          |   | President and CEO   |  |  |
| (Street)   |         |          | 4. If Amendment, Date Original  | 6. Individual or Joint/Group Filing(Check   |  |  |
|  |         |          | Filed(Month/Day/Year)   | Applicable Line)  |  |  |
| SANTA CLARA, CA 95054                                    |         |          |   | _X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |  |  |

| SANTA CL   | ARA, CA 95054                       | _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |             |                     |           |            |                  |                   |              |
|------------|-------------------------------------|---|-------------|---------------------|-----------|------------|------------------|-------------------|--------------|
| (City)     | (State) (                           | Zip) Table  | e I - Non-D | erivative S         | ecurit    | ies Acc    | quired, Disposed | of, or Beneficial | lly Owned    |
| 1.Title of | 2. Transaction Date                 | 2A. Deemed  | 3.          | 4. Securit          |           | •          | 5. Amount of     | 6. Ownership      | 7. Nature of |
| Security   | (Month/Day/Year) Execution Date, if |   |             | on(A) or Dis        | sposed    | of         | Securities       | Form: Direct      | Indirect     |
| (Instr. 3) |                                     | any   | Code        | (D)                 |           |            | Beneficially     | (D) or            | Beneficial   |
|            | (Month/Day/Year)                    |   |             | (Instr. 3, 4 and 5) |           |            | Owned            | Indirect (I)      | Ownership    |
|            |                                     |   |             |                     | Following | (Instr. 4) | (Instr. 4)       |                   |              |
|            |                                     |   |             |                     | (         |            | Reported         |                   |              |
|            |                                     |   |             |                     | (A)       |            | Transaction(s)   |                   |              |
|            |                                     |   | C = V       | A                   | or        | ъ.         | (Instr. 3 and 4) |                   |              |
| 0.11       |                                     |   | Code V      | Amount              | (D)       | Price      |                  |                   |              |
| Ordinary   | 12/26/2013                          |   | S(1)        | 72,000              | D         | \$ 30      | 500,470          | D                 |              |
| Shares     | 12,20,2013                          |   | 5 <u></u>   | 72,000              | D         | ΨΟ         | 300,170          | D                 |              |

| Ordinary<br>Shares | 12/26/2013 | S <u>(1)</u> | 72,000 | D | \$ 30 500,470 | D |                 |
|--------------------|------------|--------------|--------|---|---------------|---|-----------------|
| Ordinary<br>Shares | 12/26/2013 | S <u>(1)</u> | 9,000  | D | \$ 30 68,333  | I | See<br>Footnote |
| Ordinary<br>Shares | 12/26/2013 | S(1)         | 18,000 | D | \$ 30 102,000 | I | See<br>Footnote |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Titl | e and                                  | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|---------|--|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D  | ate         | Amou    | nt of                                  | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Under   | lying                                  | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |             | Securi  | ties                                   | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               |             | (Instr. | 3 and 4)                               |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |               |             |         |  |             | Follo  |
|             |             |                     |                    |            | (A) or     |               |             |         |  |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |             |         |  |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |             |         |  |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |             |         |  |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |             |         |  |             |        |
|             |             |                     |                    |            |            |               |             |         | Amaunt                                 |             |        |
|             |             |                     |                    |            |            |               |             |         |  |             |        |
|             |             |                     |                    |            |            | Date          | Expiration  |         |  |             |        |
|             |             |                     |                    |            |            | Exercisable   | Date        |         |  |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |             |         |  |             |        |
|             |             |                     |                    | Code V     | 4, and 5)  |               | •           | Title   | Amount<br>or<br>Number<br>of<br>Shares |             |        |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |                   |       |  |  |  |  |
|--------------------------------|---------------|-----------|-------------------|-------|--|--|--|--|
| <b>F</b>                       | Director      | 10% Owner | Officer           | Other |  |  |  |  |
| Wang Feng-Ming                 |               |           |                   |       |  |  |  |  |
| 3101 JAY STREET                | X             |           | President and CEO |       |  |  |  |  |
| SANTA CLARA, CA 95054          |               |           |                   |       |  |  |  |  |

## **Signatures**

/s/ Michael Morehead, by Power of Attorney 12/30/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to Rule 10b5-1 trading plans adopted on March 28, 2013.
- Shares held by Acorn Hall Irreversible Trust for the benefit of the reporting person's child. The reporting person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or any other purpose.
- Shares held by Tutu's Irrevocable Trust for the benefit of the reporting person's child. The reporting person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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