## Edgar Filing: WMS INDUSTRIES INC /DE/ - Form 4

WMS INDUSTRIES INC /DE/ Form 4 September 17, 2013 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading SCHWEINFURTH SCOTT D Issuer Symbol WMS INDUSTRIES INC /DE/ (Check all applicable) [WMS] 3. Date of Earliest Transaction (Last) (First) (Middle) Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) 800 S. NORTHPOINT BLVD 09/13/2013 EVP, CFO & Treasurer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting WAUKEGAN, IL 60085 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial Indirect (I) (Month/Day/Year) (Instr. 8) Owned Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 1,756 \$ 09/13/2013 F D 85,157 (2) D Stock (1) 25.83 Common 1,597 09/15/2013 F D 83,560 (2) D 25.86 (1) Stock Common S 821 (1) D 09/16/2013 F D 82,739 (2) 25.86 Stock Common 695 (1) D 09/17/2013 F 82.044 (2) D 25.86 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired	(Month/Day/Year) vative rities		Amou Unde Secur	tle and unt of crlying rities r: 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo
	j.			(A) or Disposed						Repo Trans
				of (D) (Instr. 3,						(Instr
				4, and 5)						
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Addre	285	Relationships					
Reporting Owner Plane, Plane	Director	10% Owner	Officer	Other			
SCHWEINFURTH SCOTT 800 S. NORTHPOINT BLV WAUKEGAN, IL 60085			EVP, CFO & Tr	easurer			
Signatures							
/s/ Scott D. Schweinfurth	09/17/2013						
**Signature of Reporting	Date						

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a reduction in shares to satisfy tax withholding in connection with the vesting of restricted stock units on 9/13/2013, 9/15/2013, 9/16/2013 and 9/17/2013.
- (2) Includes 21,175 shares that remain restricted as of 9/17/2013 and 312.38 shares acquired under the WMS stock purchase plan on 12/31/2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Person