### Edgar Filing: DEHAAN DOUGLAS J - Form 3/A

DEHAAN DOUGLAS J

Form 3/A

November 06, 2012

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Seagate Technology plc [STX] DEHAAN DOUGLAS J (Month/Day/Year) 09/18/2012 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) SEAGATE TECHNOLOGY 09/28/2012 (Check all applicable) PLC, 10200 S. DE ANZA **BOULEVARD** 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) General Manager, Samsung HDD \_X\_ Form filed by One Reporting Person CUPERTINO. CAÂ 95014 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â **Ordinary Shares** 35,534.628 (1) (2) (3) (8) D Gayle H. Dehaan Trust U/A/D I **Ordinary Shares** 91,707 11-29-2005 Gayle H. DeHaan Custodian for I **Ordinary Shares** 1.018 Taylor J DeHaan Gayle H. DeHaan Custodian for I **Ordinary Shares** 1,018 Bradley R DeHaan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	Securities Un	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
NQ Stock Options	09/11/2010(4) 03/06/20	Ordinary Shares	108,334	\$ 3.345	D	Â
NQ Stock Options	09/13/2011 <sup>(5)</sup> 09/13/20	Ordinary Shares	22,136	\$ 11.065	D	Â
NQ Stock Options	09/12/2012 <u>(6)</u> 09/12/20	Ordinary Shares	63,400	\$ 11.155	D	Â
NQ Stock Options	09/10/2013(7) 09/10/20	Ordinary Shares	18,030	\$ 29.87	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b>FG</b>	Director	10% Owner	Officer	Other	
DEHAAN DOUGLAS J SEAGATE TECHNOLOGY PLC 10200 S. DE ANZA BOULEVARD CUPERTINO. CA 95014	Â	Â	General Manager, Samsung HDD	Â	

# **Signatures**

/s/ Roberta S. Cohen by power-of-attorney

11/06/2012

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes a grant of restricted stock units of which 5,100 remain outstanding awarded to the Reporting Person. The restricted stock units (1) will be converted into ordinary shares on a one-for-one basis. Subject to the Reporting Person's continuous employment, such restricted stock units will be settled in ordinary shares in equal installments on each of the first four anniversaries of September 10, 2010.
- Includes a grant of restricted stock units of which 11,400 remain outstanding awarded to the Reporting Person. The restricted stock units will be converted into ordinary shares on a one-for-one basis. Subject to the Reporting Person's continuous employment, such restricted stock units will be settled in ordinary shares in equal installments on each of the first four anniversaries of September 12, 2011.
- Includes a grant of restricted stock units of which 11,536 remain outstanding awarded to the Reporting Person. The restricted stock units will be converted into ordinary shares on a one-for-one basis. Subject to the Reporting Person's continuous employment, such restricted stock units will be settled in ordinary shares in equal installments on each of the first four anniversaries of September 10, 2012.
- Options granted to the Reporting Person under the Issuer's 2004 Stock Compensation Plan are subject to a four and a half year vesting schedule. One quarter of the ordinary shares vested on September 11, 2010. Following continuous employment, the remaining options vest in equal monthly installments over the 36 months following September 11, 2010.

Reporting Owners 2

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- Options granted to the Reporting Person under the Issuer's 2001 Share Option Plan are subject to a four-year vesting schedule. One quarter of the ordinary shares vested on September 13, 2011. The remaining options vest in equal monthly installments over the 36 months following September 13, 2011.
  - Options granted to the Reporting Person under the Issuer's 2004 Stock Compensation Plan are subject to a four-year vesting schedule.
- (6) One quarter of the ordinary shares vested on September 12, 2012. The remaining options vested in equal monthly installments over the 36 months following September 12, 2012.
- Options granted to the Reporting Person under the Issuer's 2012 Incentive Equity Award Plan are subject to a four-year vesting schedule.

  (7) One quarter of the ordinary shares vested on September 10, 2013. The remaining options vested in equal monthly installments over the 36 months following September 10, 2013.
- This Amendment to the Form 3 originally timely filed on September 28, 2012 amends the direct holdings total reported in column 2 of (8) Table I to include Ordinary Shares of Seagate Technology Plc acquired from September 13, 2011 to September 13, 2012 pursuant to vested restricted stock unit grants, which were inadvertently omitted from the original Form 3.

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#### **Remarks:**

#### EXHIBITÂ INDEX

Exhibit 24-Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.