#### KNAUSS ROBERT L

Form 4

August 28, 2009

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

**OMB** 

3235-0287 Number:

January 31, Expires: 2005 Estimated average

**OMB APPROVAL** 

burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction 1(b).

obligations

(Print or Type Responses)

KNAUSS ROBERT L Symbo			Symbol					5. Relationship of Reporting Person(s) to Issuer			
			EQUUS TOTAL RETURN, INC. [EQS]				NC.	(Check all applicable)			
(Last)	, ,	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)				X Director Officer (give below)	title Other below)	Owner er (specify		
PO BOX 40			08/28/2009					2000.17			
(Street) 4.			4. If Amer	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
Filed			Filed(Mon	Filed(Month/Day/Year)				Applicable Line)			
BURTON, TX 77835								_X_ Form filed by One Reporting Person Form filed by More than One Reporting			
BORTON, 1X 77033				Person							
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned	
1.Title of	2. Transaction Da			3.	4. Securi			5. Amount of	6. Ownership		
Security (Month/Day/Year) Execution Date, (Instr. 3) any			on Date, if	e, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)				Securities Form: Direct Indirect Beneficially (D) or Beneficial			
(Ilisti. 3)		2	Day/Year)	(Instr. 8)	(msu. 3,	4 and	3)	Owned	Indirect (I)	Ownership	
		`	,	` ′				Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported Transaction(s)			
				G 1 37		or	ъ.	(Instr. 3 and 4)			
Common				Code V	Amount	(D)	Price \$	,			
Stock	08/28/2009			P	3,000	A	э 3.288	10,670	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: KNAUSS ROBERT L - Form 4

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Titl		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	int of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	Bene
	Derivative		•		Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						(
					4, and 5)						
					.,						
									Amount		
						Date	Expiration		or		
						Exercisable Date	-	Title	Number		
						Exercisable	Excicisable Date		of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer Othe			
KNAUSS ROBERT L						
PO BOX 40	X					
BURTON, TX 77835						

# **Signatures**

L'Sheryl D. Hudson, Attorney-In-Fact 08/28/2009

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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