

BALLY TECHNOLOGIES, INC.
Form 4
September 05, 2014

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Davidson Neil

2. Issuer Name and Ticker or Trading Symbol
BALLY TECHNOLOGIES, INC.
[BYI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
6601 S. BERMUDA ROAD
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
09/03/2014

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
SVP, CFO & Treasurer

LAS VEGAS, NV 89119

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, par value \$.10 per share	09/03/2014		S ⁽¹⁾		3,540	D	\$ 80
					37,578 ⁽²⁾	D	
Common Stock, par value \$.10 per share	09/03/2014		M		2,500	A	\$ 23.47
					40,078	D	
Common Stock, par value \$.10 per share	09/03/2014		S ⁽¹⁾		2,500	D	\$ 80
					37,578	D	

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Common Stock, par value \$.10 per share	09/03/2014	M	10,000	A	\$ 37.76	47,578	D
Common Stock, par value \$.10 per share	09/03/2014	<u>S⁽¹⁾</u>	10,000	D	\$ 80	37,578	D
Common Stock, par value \$.10 per share	09/03/2014	M	6,000	A	\$ 42.54	43,578	D
Common Stock, par value \$.10 per share	09/03/2014	<u>S⁽¹⁾</u>	6,000	D	\$ 80	37,578	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 23.47	09/03/2014		M	2,500	<u>(3)</u>	03/08/2017	Common Stock, par value \$.10 per share	2,500
Employee Stock Option (Right to Buy)	\$ 37.76	09/03/2014		M	10,000	<u>(5)</u>	12/06/2018	Common Stock, par value \$.10 per share	10,000
	\$ 42.54	09/03/2014		M	6,000	<u>(6)</u>	10/16/2016		6,000

Employee
Stock
Option
(Right to
Buy)

Common
Stock, par
value
\$.10 per
share

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Davidson Neil 6601 S. BERMUDA ROAD LAS VEGAS, NV 89119			SVP, CFO & Treasurer	

Signatures

/s/Neil Davidson 09/05/2014

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales were pursuant to a Rule 10b5-1 Trading Agreement entered into on February 17, 2014.
- (2) Includes 194 shares acquired under the Bally Technologies, Inc. Employee Stock Purchase Plan on May 30, 2014.
- (3) The options vested as follows: 1,250 on 3/8/2008, 1,250 on 3/8/2009, 1,250 on 3/8/2010 and 1,250 on 3/8/2011.
- (4) Granted as compensation for services.
- (5) The options vest as follows: 5,000 on 12/6/2012, 5,000 on 12/6/2013, 5,000 on 12/6/2014 and 5,000 on 12/6/2015.
- (6) The options vested as follows: 3,000 on 10/16/2011, 1,500 on 10/16/2012 and 1,500 on 10/16/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.