Edgar Filing: EAST WEST BANCORP INC - Form 5

EAST WEST BANCORP INC Form 5 February (FOR

Form 5 February 07	2014	-									
FORM								OMB AF	PPROVAL		
	UNITED	STATES	S SECURITIES AND EXCHANGE COMMISSION					OMB Number:	3235-0362		
5 obligations may continue.			Washington, D.C. 20549 FATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					Expires:	January 31, 2005		
								Estimated average burden hours per response			
See InstructionFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,1(b).Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,Form 3 HoldingsSection 17(a) of the Public Utility Holding Company Act of 1935 or SectionReported30(h) of the Investment Company Act of 1940TransactionsReportedReportedSection 16(a) of the Investment Company Act of 1940											
1. Name and Address of Reporting Person <u>*</u> Estrada Rudolph			2. Issuer Name and Ticker or Trading Symbol EAST WEST BANCORP INC [EWBC]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) 3. Statement for Issuer's Fisca (Month/Day/Year) 12/31/2013			s Fiscal Year Ended	X_ Director10% Owner Officer (give titleOther (specify below) below)							
	ST BANCORP, 5 N. LOS ROBLE 0R	S AVE.	12/31/2	.015							
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Reporting (check applicable line)				
PASADEN	IA, CA 91101						Form Filed by C	One Reporting Pe	erson		
						Pers			1 0		
(City)	(State)	(Zip)	Tab	le I - Non-De	rivative Securities A	cquired	l, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any		3. Transaction Code (Instr. 8)	4. Securities Acquir (A) or Disposed of (Instr. 3, 4 and 5)	(D)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year	Ownership Form:	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
					(A) or		(Instr. 3 and 4)	(I) (Instr. 4)			

4) Amount (D) Price \$ Common Â 09/04/2007 **S**4 35.647 24,851 840 D D Stock (1) Common \$ 13.65 21,641 Â 09/16/2008 **S**4 3,210 D D Stock (2) Â **S**4 Common 05/07/2012 8,499 \$ D 13,142 D Stock 22.464

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						(3)			
Common stock	08/10/2012	Â	S4	2,294	D	\$ 21.616 (4)	10,848	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information
contained in this form are not required to respond unless
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(9-02)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. of D Se B O E I S Fi (I
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Estrada Rudolph EAST WEST BANCORP, INC. 135 N. LOS ROBLES AVE. 7TH FLOOR PASADENA, CA 91101	ÂX	Â	Â	Â				
Signatures								
Douglas P. Krause, 02/ Attorney-in-Fact	/06/2014							

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) A total of 840 shares were sold at a weighted average price of \$35.647.

(2) A total of 3,210 shares were sold at a weighted average price of \$13.650.

(3) A total of 8,499 shares were sold at a weighted average price of \$22.464.

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(4) A total of 2,294 shares were sold at a weighted average price of \$21.616.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.