

CAPSTONE TURBINE Corp

Form 4

August 25, 2010

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
JAGGERS JOHN V

2. Issuer Name **and** Ticker or Trading
Symbol
CAPSTONE TURBINE Corp
[CPST]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
13455 NOEL ROAD, SUITE 1670
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
08/24/2010

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

DALLAS, TX 75240

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock	08/24/2010		P ⁽¹⁾		100,000	A	\$ 0.6995 ⁽²⁾	280,786	D	
Common Stock								9,744	I ⁽³⁾	See Footnote

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
JAGGERS JOHN V 13455 NOEL ROAD SUITE 1670 DALLAS, TX 75240	X

Signatures

John V. Jagers 08/25/2010
 __Signature of Date
 Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person's purchase of Capstone Turbine Corporation ("Capstone") common stock reported herein was matchable under Section 16(b) of the Securities Exchange Act of 1934, to the extent of 62 shares (at the lowest price of \$0.698) with the reporting person's
- (1) pecuniary interest in the sale of 62 shares of Capstone common stock at a price of \$0.7475 per share on August 18, 2010. The reporting person has paid to Capstone \$0.90, representing the full amount of profit realized in connection with the short-swing transaction, less transaction costs.
- The Capstone shares purchased on this date were purchased in multiple transactions. This price is the weighted average sales price per
- (2) share of all shares purchased on this date. The actual purchase price per share ranges from \$0.698 to \$0.70. The number of shares purchased at each separate price will be provided upon request by Capstone or the security holder of Capstone.
- Total common shares of 9,744 represents 16 of such common shares held by SRB Associates VII L.P. ("SRB VII"), and 9,728 of such common shares held by Sevin Rosen VII Affiliates Fund L.P. ("SRVII AFF"). John Jagers ("Jagers") is a general partner of SRBVII, and a general partner the general partner of SRVII AFF. Jagers disclaims beneficial ownership of these shares except to his
- (3) proportionate partnership interest in these shares. On August 18, 2010, Sevin Rosen Fund V L.P. ("SRV") and Sevin Rosen V Affiliates Fund L.P. ("SRV AFF") sold 44,929 common shares, of which Jagers' pecuniary interest is 62 common shares. Jagers is a general partner of the general partner of SRV and SRV AFF.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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