

PEAPACK GLADSTONE FINANCIAL CORP

Form 8-K

August 18, 2017

**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**WASHINGTON, D.C. 20549**

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**FORM 8-K**

**CURRENT REPORT**

**PURSUANT TO SECTION 13 OR 15(d) OF THE**

**SECURITIES EXCHANGE ACT OF 1934**

**Date of report (Date of earliest event reported)** August 17, 2017

PEAPACK-GLADSTONE FINANCIAL CORPORATION

**(Exact Name of Registrant as Specified in Charter)**

New Jersey

**(State or Other Jurisdiction  
of Incorporation)**

001-16197 22-3537895

**(Commission (I.R.S. Employer  
File Number) Identification No.)**

500 Hills Drive, Suite 300, Bedminster, New Jersey

**(Address of Principal Executive Offices)**

07921

**(Zip Code)**

**Registrant's telephone number, including area code** (908) 234-0700

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (*see* General Instruction A.2. below):

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging Growth Company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

**Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers**

(d) On August 17, 2017, Carmen M. Bowser was appointed to the Board of Directors of Peapack-Gladstone Financial Corporation and Peapack-Gladstone Bank, effective September 28, 2017. Ms. Bowser will serve on the Risk and Nominating Committees.

**Item 9.01.**

*(d)*

**Financial Statements and Exhibits.**

*Exhibits.*

Exhibit No. Title

99.1 Press release dated August 18, 2017

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

PEAPACK-GLADSTONE FINANCIAL  
CORPORATION

|                        |        |                                                                   |
|------------------------|--------|-------------------------------------------------------------------|
| Dated: August 18, 2017 | By:    | <u>/s/Jeffrey J.</u>                                              |
|                        |        | <u>Carfora</u>                                                    |
|                        | Name:  | Jeffrey J. Carfora                                                |
|                        | Title: | Senior Executive Vice President<br>and<br>Chief Financial Officer |

**Exhibit Index**

**Exhibit No. Title**

99.1 Press release dated August 18, 2017