

ASTRONICS CORP
Form 8-K
July 28, 2003

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): July 24, 2003

ASTRONICS CORPORATION

(Exact name of registrant as specified in its charter)

New York (State or other jurisdiction of incorporation)	0-7087 (Commission File Number)	16-0959303 (IRS Employer Identification No.)
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130 Commerce Way, East Aurora, New York (Address of principal executive offices)	14052-2191 (Zip Code)
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Registrant's telephone number, including area code (716) 805-1599

Not Applicable
(Former name or former address, if changed since last report)

Item 7. Financial Statements, *Pro Forma* Financial Information and Exhibits.

(c) Exhibits.

99 News Release dated July 24, 2003.

Item 9.

Regulation FD Disclosure.

On July 24, 2003, Astronics Corporation issued a news release announcing financial results for the quarter ended June 28, 2003. The news release is annexed as Exhibit 99 to this Current Report on Form 8-K. This information is being furnished under Item 12 of Form 8-K.

The information contained in this Form 8-K and the Exhibit annexed hereto shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, nor shall it be deemed incorporated by reference into any filing under the Securities Act of 1933, as amended, except as shall be expressly set forth in such filing.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: July 28, 2003

ASTRONICS CORPORATION

By: /s/ David C. Burney
Name: David C. Burney
Title: Vice President - Finance

**Exhibit
Number**

Description

99 Earnings Release of Astronics Corporation dated July 24, 2003.

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The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 18482P103 13G

Page 2 of 5
Pages

1 Names of Reporting Persons Only
Ronald G. Roth

I.R.S. Identification Nos. of Above Persons (Entities)

2 Check the Appropriate Box if a Member of a Group (See Instructions)
o (a)
o (b)

3 SEC Use Only

4 Citizenship or Place of Organization
Minnesota USA

Number of	5	Sole Voting Power	1,148,806
Shares	6	Shared Voting Power	176,760
Beneficially	7	Sole Dispositive Power	1,148,806
Owned by	8	Shared Dispositive Power	176,760
Each			

Reporting

Person

9 Aggregate Amount Beneficially Owned by Each Reporting Person

1,325,566

10 Check if the Aggregate Amount in Row (9) Excludes Certain Shares _____
(See Instructions)

11 Percent of Class Represented by Amount in Row (9)
9.6%

12 Type of Reporting Person (See Instructions)
IN

Item
1(a) Name of Issuer:
Clearfield, Inc.

Item
1(b) Address of Issuer's Principal Executive Offices:
7050 Winnetka Avenue N., Suite 100, Brooklyn Park, MN 55428

Item
2(a) Name of Person Filing:
Ronald G. Roth

Item
2(b) Address of Principal Business Office or, if None, Residence:
7050 Winnetka Avenue N., Suite 100, Brooklyn Park, MN 55428

Item
2(c) Citizenship:
Minnesota, USA

Item
2(d) Title of Class of Securities:
Common Stock, \$0.01 par value

Item
2(e) CUSIP Number:
18482P103

Item
3. If this statement is filed pursuant to Rules 13d-1(b), or 13d-2(b) or (c), check whether the person filing is a:

- (a) Broker or dealer registered under Section 15 of the Exchange Act,
- (b) Bank as defined in Section 3(a)(6) of the Exchange Act,
- (c) Insurance company as defined in Section 3(a)(19) of the Exchange Act,
- (d) Investment Company registered under Section 8 of the Investment Company Act, (e) An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E),
- (e) An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E),

- (f) An employee benefit plan or endowment fund in accordance with Rule 13d-1(b)(1)(ii)(F),
- (g) A parent holding Company, or control person in accordance with Rule 13d-1(b)(ii)(G),
- (h) A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act,
- (i) A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act,
- (j) Group, in accordance with Rule 13d-1(b)(ii)(J).

If this statement is filed pursuant to Rule 13d-1(c), check this box.

Item 4. Ownership.

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

(a) Amount Beneficially Owned: 1,325,566 which consists of 1,148,806 shares owned individually by the reporting person as of December 31, 2014, no options to purchase shares at December 31, 2014 or within 60 days and 176,760 shares owned by spouse.

(b) Percent of Class: 9.6% based on 13,742,873 shares of the Issuer's common stock outstanding on December 31, 2014 as reported in the Issuer's Form 10-Q for the quarter ended December 31, 2014 and including all 1,325,566 shares that could be deemed to be beneficially owned by Mr. Roth.

(c) Number of shares as to which such person has:

(i) Sole power to vote or to direct the vote: 1,148,806

(ii) Shared power to vote or direct the vote: 176,760

(iii) Sole power to dispose or direct the disposition of: 1,148,806

(iv) Shared power to dispose or to direct the disposition of: 176,760

Item 5. Ownership of Five Percent or Less of a Class.

Not applicable.

Item 6. Ownership of More Than Five Percent on Behalf of Another Person.

Not applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company.

Not applicable.

Item 8. Identification and Classification of Members of the Group.

Not applicable.

Item 9. Notice of Dissolution of Group.

Not applicable.

Item 10. Certification.

By signing below, I certify, that to the best of my knowledge and belief, the securities referred to above were acquired in the ordinary course of business and were not acquired for the purpose of and do not have the effect of changing or influencing the control of the issuer of such securities and were not acquired in connection with or as a participant in

any transaction having such purpose or effect.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete, and correct.

February 4, 2015

(Date)

/s/ Ronald G. Roth

(Signature)

Ronald G. Roth

(Name and Title)