

Edgar Filing: BYRNE SUSAN M - Form 4

BYRNE SUSAN M
Form 4
October 29, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

Byrne, Susan M.

(Last)

(First)

(Middle)

300 Crescent Court, Suite 1300

(Street)

Dallas, Texas 75201

(City)

(State)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

Westwood Holdings Group, Inc. ("WHG")

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

10/29/02

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

☒ Director

☒ 10% Owner

☐ Officer (give title below)

☐ Other (specify below)

Chief Executive Officer

7. Individual or Joint/Group Filing (Check applicable line)

☒ Form filed by one Reporting Person

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[] Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

6.		4.	
Owner-		Securities Acquired (A) or	
ship		Disposed of (D)	
Form: 7.		3. Transaction (Instr. 3, 4 and 5)	
Beneficially	Direct	Nature of	2. Code
End (D) or	Indirect	1. Transaction	(Instr. 8) (A)
Indirect	Beneficial	Date	Amount or Price
Title of Security	Ownership	(mm/dd/yy)	(D)
(Instr. 3)	(Instr. 4)	Code V	

Common Stock	10/28/02	P	2,000	A
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Common Stock	10/29/02	P	2,000	A
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* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

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FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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10.

Owner-

Number ship

Form

1.	2.	3.	4.	5.	6.	7.	
Deriv- ative Secur- ities Bene- ficially Owned 1. End Title of direct Month Security (Instr. (Instr. 3) 4)	of Deriv- ative Secur- ity: Direct (D) or In- Owner- Deriv- ative ship Secur- (Instr. ity	2. Conver- sion Nature or Exer- In- cise direct Price Bene- of Deriv- ative ship Secur- (Instr. ity	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8) ----- Code V	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Exer- cisable	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares

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Explanation of Responses:

/s/ William R. Hardcastle, Jr.

10/29/02

**Signature of Reporting Person
as Attorney-in-fact

Date

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** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

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