#### Edgar Filing: NEWMONT MINING CORP /DE/ - Form 4

#### NEWMONT MINING CORP /DE/

Form 4

February 26, 2016

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|----|---|---|---|
|----|---|---|---|

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \*

(Street)

(State)

Culpepper Glenn

2. Issuer Name and Ticker or Trading

Symbol

Issuer

5. Relationship of Reporting Person(s) to

(Check all applicable)

SVP, Controller

**NEWMONT MINING CORP / DE/** 

[NEM]

(Last)

(First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director X\_ Officer (give title below)

10% Owner Other (specify

6363 SOUTH FIDDLER'S GREEN 02/24/2016

**CIRCLE** 

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### **GREENWOOD** VILLAGE, CO 80111

| (City)                                  | (State)                              | Tabl  | e I - Non-E                            | <b>Derivative</b> | Secu                         | rities Acqu     | ired, Disposed of  | , or Beneficiall   | y Owned   |
|---|--------------------------------------|---|--|-------------------|------------------------------|-----------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3)    | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | (Instr. 3,        | ispose<br>4 and<br>(A)<br>or | d of (D)<br>5)  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock,<br>\$1.60 par<br>value | 02/24/2016                           |   | Code V                                 | 1,230<br>(1)      | ` /                          | Price \$ 25.845 | · ·  | D  |   |
| Common<br>Stock,<br>\$1.60 par<br>value | 02/24/2016                           |   | F                                      | 1,027<br>(2)      | D                            | \$<br>25.845    | 26,966   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.         | 6. Date Exerc | cisable and | 7. Titl | le and   | 8. Price of | 9 |
|-------------|-------------|---------------------|--------------------|-------------------|------------|---------------|-------------|---------|----------|-------------|---|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |            | Expiration Da | ate         | Amou    | int of   | Derivative  | J |
| Security    | or Exercise |                     | any                | Code              | of         | (Month/Day/   | Year)       | Under   | lying    | Security    | , |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | Derivative | e             |             | Secur   | ities    | (Instr. 5)  | ] |
|             | Derivative  |                     |                    |                   | Securities |               |             | (Instr. | 3 and 4) |             | ( |
|             | Security    |                     |                    |                   | Acquired   |               |             |         |          |             | J |
|             |             |                     |                    |                   | (A) or     |               |             |         |          |             | J |
|             |             |                     |                    |                   | Disposed   |               |             |         |          |             | 7 |
|             |             |                     |                    |                   | of (D)     |               |             |         |          |             | ( |
|             |             |                     |                    |                   | (Instr. 3, |               |             |         |          |             |   |
|             |             |                     |                    |                   | 4, and 5)  |               |             |         |          |             |   |
|             |             |                     |                    |                   |            |               |             |         | Amount   |             |   |
|             |             |                     |                    |                   |            |               |             |         | or       |             |   |
|             |             |                     |                    |                   |            | Date          | Expiration  | Title   | Number   |             |   |
|             |             |                     |                    |                   |            | Exercisable   | e Date      |         | of       |             |   |
|             |             |                     |                    | Code V            | (A) (D)    |               |             |         | Shares   |             |   |
|             |             |                     |                    | Code V            | (II)       |               |             |         | Dilaics  |             |   |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Culpepper Glenn 6363 SOUTH FIDDLER'S GREEN CIRCLE GREENWOOD VILLAGE, CO 80111

SVP, Controller

# **Signatures**

Logan H. Hennessey, as attorney-in-fact for Glenn Culpepper

02/26/2016

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld to satisfy tax withholding obligation applicable to the vesting of 3,913 stock-settled restricted stock units.
- (2) Shares withheld to satisfy tax withholding obligation applicable to the vesting of 2,869 stock-settled restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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