Speirs David Form 4 September 27, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

OMB Number: 3235-0287 January 31,

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Expires:

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Ad<br>Speirs David                     | dress of Repor | rting Person * | 2. Issuer Name and Ticker or Trading Symbol Ultra Clean Holdings, Inc. [UCTT] | 5. Relationship of Reporting Person(s) to Issuer  |  |  |  |
|--|----------------|----------------|---|---|--|--|--|
| (Last)   | (First)        | (Middle)       | 3. Date of Earliest Transaction   | (Check all applicable)  |  |  |  |
| ULTRA CLEAN HOLDINGS,<br>INC., 26462 CORPORATE AVE |                |                | (Month/Day/Year)<br>09/25/2017  | Director 10% Owner _X Officer (give title Other (specification) below)  SVP, North America        |  |  |  |
|  | (Street)       |                | 4. If Amendment, Date Original Filed(Month/Day/Year)                          | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person |  |  |  |
| HAYWARD, CA 94545                                  |                |                |   | Form filed by More than One Reporting Person  |  |  |  |
| (City)   | (State)        | (Zip)          | Table I - Non-Derivative Securities Acq                                       | quired, Disposed of, or Beneficially Owned  |  |  |  |

| (City)                               | (State)                                 | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |   |                    |       |  |  |   |  |
|--------------------------------------|---|--|---|---|--------------------|-------|--|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                      | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities on(A) or Disp<br>(Instr. 3, 4 | posed of           |       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
|                                      |   |  | Code V                                  |   | (A)<br>or<br>(D) P | Price | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   | (IIIsti. 4)  | (msu. 4)  |  |
| Commo:<br>Stock                      | n 09/25/2017                            |  | F                                       | 876 <u>(1)</u> 1                            | D \$ 27            | 7.01  | 100,003  | D  |   |  |
| Commo                                | n                                       |  |   |   |                    |       | 200  | Ι  | by son  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.         | 6. Date Exerc | cisable and     | 7. Title     | and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------------|------------|---------------|-----------------|--------------|--------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |            | Expiration D  | Expiration Date |              | t of   | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code              | of         | (Month/Day/   | Year)           | Underly      | ing    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | Derivative | e             |                 | Securitie    | es     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                   | Securities |               |                 | (Instr. 3    | and 4) |             | Own    |
|             | Security    |                     |                    |                   | Acquired   |               |                 |              |        |             | Follo  |
|             | •           |                     |                    |                   | (A) or     |               |                 |              |        |             | Repo   |
|             |             |                     |                    |                   | Disposed   |               |                 |              |        |             | Trans  |
|             |             |                     |                    |                   | of (D)     |               |                 |              |        |             | (Instr |
|             |             |                     |                    |                   | (Instr. 3, |               |                 |              |        |             |        |
|             |             |                     |                    |                   | 4, and 5)  |               |                 |              |        |             |        |
|             |             |                     |                    |                   |            |               |                 | ^            | mount  |             |        |
|             |             |                     |                    |                   |            |               |                 |              | mount  |             |        |
|             |             |                     |                    |                   |            | Date          | Expiration      | Title N      |        |             |        |
|             |             |                     |                    |                   |            | Exercisable   | Date            | Title Number |        |             |        |
|             |             |                     |                    | C + V             | (A) (D)    |               |                 |              |        |             |        |
|             |             |                     |                    | Code V            | (A) (D)    |               |                 | S            | hares  |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Speirs David ULTRA CLEAN HOLDINGS, INC. 26462 CORPORATE AVE HAYWARD, CA 94545

SVP, North America

## **Signatures**

/s/ Sheri Brumm, as attorney-in-fact for David Speirs

09/27/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares automatically withheld for payment of tax liability arising as a result of the partial settlement of restricted stock unit **(1)** awards that vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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