**RUFFLE CHRIS** 

Form 5

December 09, 2011

FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES 1.0 response... may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer RUFFLE CHRIS Symbol CHINA FUND INC [CHN] (Check all applicable) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) (Middle) (Month/Day/Year) Director 10% Owner X\_ Officer (give title 10/31/2011 Other (specify below) below) HEARTLAND(ICC), CITIGROUP Vice President TOWER, 16TH FL, NO 33 HUA YUAN SHI QIAO RD, PUDONG **DISTR** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) SHANGHAI, F4Â 200120 \_X\_ Form Filed by One Reporting Person \_ Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 1. Title of 2. Transaction Date 2A. Deemed 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Acquired (A) or Securities Form: Direct Indirect (D) or (Instr. 3) Disposed of (D) Beneficially Beneficial Code (Month/Day/Year) (Instr. 3, 4 and 5) Owned at end Indirect (I) Ownership (Instr. 8) of Issuer's (Instr. 4) (Instr. 4) (A) Fiscal Year or (Instr. 3 and 4) (D) Price Amount The China See Â Â Â Â Â 29,400 Ι Fund, Inc. Footnote (1) Persons who respond to the collection of information **SEC 2270** Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. contained in this form are not required to respond unless (9-02)the form displays a currently valid OMB control number.

**OMB APPROVAL** 

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	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	e and	8. Price of
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	nt of	Derivative
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)
		Derivative				Securities			(Instr.	3 and 4)	
		Security				Acquired					
						(A) or					
						Disposed					
						of (D)					
						(Instr. 3,					
						4, and 5)					
										Amount	
										or	
							Date	Expiration		Number	
							Exercisable	Date	Title	of	
						(A) (D)				Shares	
						(22)				D11010	

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
RUFFLE CHRIS						
HEARTLAND(ICC), CITIGROUP TOWER, 16TH FL	â	â	Vice President	Â		
NO 33 HUA YUAN SHI QIAO RD, PUDONG DISTR	А	А	A vice President			
SHANGHALÂ F4Â 200120						

## **Signatures**

/s/ Chris Ruffle 07/30/2011

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Chris Ruffle is joint owner of Heartland Capital Management Limited ("HCML"). Martin Currie Ltd have established MC China Ltd ("MCCL") as a joint venture company to provide investment management or investment advisory services to the range of China

(1) Investment products managed by Martin Currie Inc. MCCL has appointed Martin Currie Investment Management Ltd ("MCIM"), or its affiliates, as investment manager of Martin Currie Inc's China investment products. HCML has seconded affiliates of MCIM, or its affiliates, on a full-time basis with the same roles and responsibilities as full-time employees, including the duties of portfolio management for The China Fund, Inc. Chris Ruffle is formerly a portfolio manager of The China Fund, Inc.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2