## Edgar Filing: TYSON MITCHELL G - Form 4

| TYSON MIT  | CHELL G                                 |                 |            |   |   |   |  |  |                  |          |  |
|--|---|-----------------|------------|---|---|---|--|--|------------------|----------|--|
| Form 4   |   |                 |            |   |   |   |  |  |                  |          |  |
| May 24, 2018   |   |                 |            |   |   |   |  |  |                  | PPROVAL  |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549   |   |                 |            |   |   |   |  | 3235-0287  |                  |          |  |
| Check this box<br>if no longer<br>subject to<br>Section 16.<br>SECURITIES SECURITIES January 3<br>20<br>Expires: 20<br>Estimated average<br>burden hours per |   |                 |            |   |   |   |  |  |                  |          |  |
| (Print or Type R   | esponses)                               |                 |            |   |   |   |  |  |                  |          |  |
| TYSON MITCHELL G Symbol  |   |                 |            | Name and Ticker or Trading ONICS INC [PLAB] |   |   |  | 5. Relationship of Reporting Person(s) to<br>Issuer                        |                  |          |  |
| (Last)   | (First) (M                              | iddle)          | 3. Date of | Earliest Tra                                | ansaction                               |   |  | (Chec  | k all applicable | e)       |  |
|  |   |                 | (Month/Da  | h/Day/Year)<br>2/2018                       |   |   |  | Director     10% Owner       Officer (give title     Other (specify below) |                  |          |  |
|  | Filed(Month/Day/Year) Applicabl         |                 |            |   | Applicable Line)                        | or Joint/Group Filing(Check<br>ne)<br>d by One Reporting Person |  |  |                  |          |  |
| BROOKFIE   | LD, CT 06804                            |                 |            |   |   |   |  |  | More than One Re |          |  |
| (City)   | (State) (A                              | Zip)            | Table      | e I - Non-D                                 | erivative                               | Securi  | ties Acc   | uired, Disposed of   | f, or Beneficial | ly Owned |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year) | Executio<br>any |            | 3.<br>Transactic<br>Code<br>(Instr. 8)      | (D)<br>(Instr. 3, 4 and 5)<br>(A)<br>or |   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)       |                  |          |  |
| Common<br>Stock  | 05/22/2018                              |                 |            | Code V<br>M                                 | Amount<br>1,040                         | (D)<br>A  | Price<br>\$<br>0.76  | 80,419   | D                |          |  |
| Common<br>Stock  | 05/22/2018                              |                 |            | S   | 1,040<br>(1)                            | D   | \$<br>9.85   | 79,379   | D                |          |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number<br>on f Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amour<br>Underlying Securit<br>(Instr. 3 and 4) |                                 |
|---|---|---|---|--|---|--|--------------------|--|---------------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable  | Expiration<br>Date | Title  | Amo<br>or<br>Num<br>of<br>Share |
| Non-Qualified<br>Stock Options                      | \$ 0.76   | 05/22/2018                              |   | М                                      | 1,040   | 11/10/2012   | 11/10/2018         | Common<br>Stock  | 1,0                             |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>                     | Relationships |             |         |            |  |  |  |
|---|---------------|-------------|---------|------------|--|--|--|
|   | Director      | 10% Owner   | Officer | Other      |  |  |  |
| TYSON MITCHELL G<br>15 SECOR ROAD<br>BROOKFIELD, CT 06804 |               |             |         |            |  |  |  |
| Signatures  |               |             |         |            |  |  |  |
| /s/ Richelle E. Burr, attorney-in<br>Tyson                | n-fact for    | Mitchell G. |         | 05/24/2018 |  |  |  |
| <u>**</u> Signature of Reporti                            | ing Person    |             |         | Date       |  |  |  |

## **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sale reported on this Form 4 was effected pursuant to a 10b5-1 trading plan adopted by Mr. Tyson.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

2