BORIN MARK C Form 4 January 04, 2018

FORM 4

OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB** Washington, D.C. 20549 Number:

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

2. Issuer Name and Ticker or Trading

Symbol

1(b).

(Print or Type Responses)

BORIN MARK C

1. Name and Address of Reporting Person *

| | | | PENTAIR plc [PNR] | | | | | (Check all applicable) | | | |
|---|--|--------------------|-----------------------------------|----------------------------|---------------------------------------|---|-------------|---|--|---|--|
| (Last) 5500 WAYZ 600 | 3. Date of Earliest Transaction (Month/Day/Year) 01/02/2018 | | | | | Director 10% Owner Selow) Other (specify below) Chief Acctg Office-Controller | | | | | |
| | | | | ndment, Da th/Day/Year) | | l | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| (City) (State) (Tip) | | | | | | | Person | | | | |
| • | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | • | |
| 1.Title of Security (Instr. 3) | 2. Transaction E (Month/Day/Ye. | ar) Executi any | emed on Date, if /Day/Year) | Code (Instr. 8) | 4. Securi or(A) or D (Instr. 3, | ispose 4 and (A) or | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Shares | 01/02/2018 | | | F <u>(1)</u> | 127 | D | \$ 70.23 | 16,928 <u>(2)</u> | D | | |
| Common Shares - Restricted Stock Units | | | | | | | | 7,236 <u>(2)</u> | D | | |
| Common Shares - Deferral Plan | | | | | | | | 6,780.752 (2) (3) (4) | I | Plan Agent | |
| | | | | | | | | 592.8447 (4) | I | By ESOP | |

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January 31,

2005

0.5

Expires:

response...

5. Relationship of Reporting Person(s) to

Issuer

Estimated average

burden hours per

Common Shares -ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Titl Amou Under Secur (Instr. | int of lying | 8. Price of Derivative Security (Instr. 5) |
|---|---|--------------------------------------|---------------------------------------|---|---------------------|--------------------|--|--|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BORIN MARK C 5500 WAYZATA BLVD., SUITE 600 GOLDEN VALLEY, MN 55416

Chief Acctg Office-Controller

Signatures

/s/ John K. Wilson, Attorney-in-Fact for Mark C. Borin

01/04/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares surrendered to pay taxes applicable to vesting of restricted stock units.
- (2) End-of-period holdings reflect the vesting of restricted stock units that were previously reported.
- (3) Shares of Pentair plc common shares will be delivered to the reporting person in accordance with their irrevocable deferral election.

Reporting Owners 2

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(4) End-of-period holdings include shares acquired under a dividend reinvestment plan in exempt transactions not required to be reported pursuant to Section 16(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.