Edgar Filing: DXP ENTERPRISES INC - Form 5

DXP ENTERPRISES INC Form 5 April 24, 2017 OMB APPROVAL FORM 5 OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations **OWNERSHIP OF SECURITIES** response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person ^{*} 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer VINSON DAVID C Symbol DXP ENTERPRISES INC [DXPE] (Check all applicable) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) (Middle) (Month/Day/Year) Director 10% Owner Х _ Officer (give title 12/31/2016 Other (specify below) below) 7272 PINEMONT DRIVE Sr. V.P. (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) HOUSTON, TXÂ 77040 _X_ Form Filed by One Reporting Person _ Form Filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Transaction Securities Form: Direct Indirect Execution Date, if Acquired (A) or

Reminder: Report on a separate line for each class of
securities beneficially owned directly or indirectly.

any

Â

(Month/Day/Year)

(Instr. 3)

DXP

Stock

Common

Â

Persons who respond to the collection of informationSEC 2270contained in this form are not required to respond unless(9-02)the form displays a currently valid OMB control number.(9-02)

9.681

Beneficially

of Issuer's

Fiscal Year

Owned at end

(Instr. 3 and 4)

(D) or

D

Indirect (I)

(Instr. 4)

Beneficial

Ownership

(Instr. 4)

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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Disposed of (D)

(Instr. 3, 4 and 5)

(A)

or

Amount (D) Price

Â

Â

Code

Â

(Instr. 8)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		Number Expiration Date of (Month/Day/Yea Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,			e and Amount of lying Securities 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
DXP Restricted Stock Units	\$ 0	Â	Â	Â	Â	Â	(<u>1)</u>	(1)	DXP Common Stock	1,000	Ì		
DXP Restricted Stock Units	\$ 0	Â	Â	Â	Â	Â	(2)	(2)	DXP Common Stock	4,000	Ì		

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Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
VINSON DAVID C 7272 PINEMONT DRIVE HOUSTON, TX 77040	Â	Â	Sr. V.P.	Â			
O .							

Signatures

/s/ David C. Vinson	01/10/2017		
<u>**</u> Signature of Reporting Person	Date		

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The terms of the grant provide for vesting in three equal installments on the anniversary of the grant date. Grant date 02/25/2015.

(2) The terms of the grant provide for vesting in one installment on the anniversary of the grant date. Grant date 08/09/2016.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.