Edgar Filing: MONMOUTH REAL ESTATE INVESTMENT CORP - Form 4

MONMOUTH REAL ESTATE INVESTMENT CORP

Form 4

MNR

Stock

Common

September 16, 2016

September											
FORM	14_{IINITEI}) CTATE	SECIII	DITIES	AND EV	CHA	NCE	COMMISSIO	N.T.	3 APPROV	٩L
UNITED STATES SECURITIES AND Washington, D.C.							INGE	COMMISSIO	N OMB Number	3235	-0287
Check t if no loa	200			J					Expires	Janua	
subject Section Form 4	F CHANGES IN BENEFICIAL OV SECURITIES					VNERSHIP OI	Estimate	ed average nours per e	0.5		
Form 5 obligati may con See Inst 1(b).	ons Section 17	7(a) of the	Public U	Itility Ho		npan	y Act	nge Act of 1934 of 1935 or Secti 940			
Print or Type	Responses)										
	Address of Reportin UGENE W	g Person *	Symbol		d Ticker or		C	5. Relationship Issuer			
					CORP [N			(Ch	eck all applic	able)	
(Last) 3499 RT. 9	(First) NORTH, SUIT	(Middle)		Day/Year)	Transaction			_X_ Director _X_ Officer (g below)			,
	(Street)				ate Origina	.1		6. Individual or			
	` ,			onth/Day/Yea	_	u		Applicable Line) _X_ Form filed b		g Person	
FREEHOL	D, NJ 07728							Person	Wiore than On	e reporting	
(City)	(State)	(Zip)	Tab	le I - Non-	Derivative	Secu	rities A	cquired, Disposed	of, or Benefi	cially Owne	d
(Instr. 3) any			Date, if	Code (D)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	ct cial rship	
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
MNR Common Stock	09/14/2016			A	40,000 (1)	A	\$0	845,356.129 (2)	D		
MNR Common Stock								154,405	I	Eugene V Landy an Gloria La Family	ıd

Foundation

Juniper Plaza

Associates

 $34,840.66 \frac{(3)}{}$ I

Edgar Filing: MONMOUTH REAL ESTATE INVESTMENT CORP - Form 4

	_9	ago	.00	O . ,						
MNR Common Stock						27,521.03 <u>(4</u>	<u>)</u> I	Windsor Industria Park Associate		
MNR Common Stock						97,913.57	I	Spouse		
MNR Common Stock						192,293.62	I	Landy & Landy Employe Pension l	es'	
MNR Common Stock						13,048	I	Landy Investme Ltd.	ents,	
MNR Common Stock						225,426.819) I	Landy & Landy Employe Profit Sharing I	es'	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)										
			tive Securities Acquuts, calls, warrants,				vned			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)		4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year) (Instr. 3 and 4) ative rities red resed) 3,		Securities	8. De Sec (In	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Qualified Stock Option to Purchase MNR Common	\$ 10.37					01/05/2017	01/05/2024	MNR Common Stock	65,000	

Edgar Filing: MONMOUTH REAL ESTATE INVESTMENT CORP - Form 4

Stock					
Qualified Stock Option to Purchase MNR Common Stock	\$ 11.16	01/05/2016	01/05/2023	MNR Common Stock	65,000
Qualified Stock Option to Purchase Common Stock	\$ 8.94	01/03/2015	01/03/2022	MNR Common Stock	65,000
Qualified Stock Option to Purchase Common Stock	\$ 10.46	01/03/2014	01/03/2021	MNR Common Stock	65,000
Qualified Stock Option to Purchase Common Stock	\$ 9.33	01/03/2013	01/03/2020	MNR Common Stock	65,000
Qualified Stock Option to Purchase Common Stock	\$ 8.72	01/03/2012	01/03/2019	MNR Common Stock	65,000
Qualified Stock Option to Purchase Common Stock	\$ 7.22	01/05/2011	01/05/2018	MNR Common Stock	65,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
LANDY EUGENE W 3499 RT. 9 NORTH SUITE 3D	X		Chairman of the Board				

Reporting Owners 3

FREEHOLD, NJ 07728

Signatures

Eugene W. 09/16/2016 Landy

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Issuance: Vesting Schedule: 20% each year for a 5-year period commencing on 9/14/17.
- (2) Includes 178.851 Dividend Reinvestment Shares acquired on 9/15/16.
- (3) Includes 520.00 shares acquired under the Dividend Reinvestment and Stock Purchase Plan.
- (4) Includes 410.78 shares acquired under the Dividend Reinvestment and Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4