

MONMOUTH REAL ESTATE INVESTMENT CORP  
Form 4  
August 09, 2016

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LANDY EUGENE W

2. Issuer Name and Ticker or Trading Symbol  
MONMOUTH REAL ESTATE INVESTMENT CORP [MNR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
3499 RT. 9 NORTH, SUITE 3D  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
08/08/2016

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman of the Board

FREEHOLD, NJ 07728

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
MNR Common Stock	08/08/2016		M		65,000 (1)	A	\$ 7.25	811,177.278	D	
MNR Common Stock								156,200	I	Eugene W. Landy and Gloria Landy Family Foundation
MNR Common Stock								34,320.66	I	Juniper Plaza Associates

Edgar Filing: MONMOUTH REAL ESTATE INVESTMENT CORP - Form 4

MNR Common Stock	27,110.25	I	Windsor Industrial Park Associates
MNR Common Stock	97,913.57	I	Spouse
MNR Common Stock	192,293.62	I	Landy & Landy Employees' Pension Plan
MNR Common Stock	13,048	I	Landy Investments, Ltd.
MNR Common Stock	225,426.819	I	Landy & Landy Employees' Profit Sharing Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Qualified Stock Option to Purchase Common Stock	\$ 7.25	08/08/2016		M	65,000	10/20/2009	10/20/2016	MNR Common Stock	65,000
Qualified Stock	\$ 10.37					01/05/2017	01/05/2024	MNR Common	65,000

Option to Purchase MNR Common Stock Qualified Stock						Stock
Option to Purchase MNR Common Stock Qualified Stock	\$ 11.16		01/05/2016	01/05/2023	MNR Common Stock	65,000
Option to Purchase MNR Common Stock Qualified Stock	\$ 8.94		01/03/2015	01/03/2022	MNR Common Stock	65,000
Option to Purchase MNR Common Stock Qualified Stock	\$ 10.46		01/03/2014	01/03/2021	MNR Common Stock	65,000
Option to Purchase MNR Common Stock Qualified Stock	\$ 9.33		01/03/2013	01/03/2020	MNR Common Stock	65,000
Option to Purchase MNR Common Stock Qualified Stock	\$ 8.72		01/03/2012	01/03/2019	MNR Common Stock	65,000
Option to Purchase MNR Common Stock Qualified Stock	\$ 7.22		01/05/2011	01/05/2018	MNR Common Stock	65,000

## Reporting Owners

Reporting Owner Name / Address	Relationships
--------------------------------	---------------

Edgar Filing: MONMOUTH REAL ESTATE INVESTMENT CORP - Form 4

	Director	10% Owner	Officer	Other
LANDY EUGENE W 3499 RT. 9 NORTH SUITE 3D FREEHOLD, NJ 07728	X			Chairman of the Board

## Signatures

Eugene W. Landy	08/09/2016
<small>**Signature of Reporting Person</small>	<small>Date</small>

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of stock option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.